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**Raymond James Consulting Services Investment Management Client Agreement**

The undersigned party (“Client(s)”) hereby retains Raymond James & Associates, Inc., (“RJA”), a registered broker/dealer and investment adviser, to establish an account(s) in the Raymond James Consulting Services (“RJCS”) separately managed account program (the “Program”) sponsored by RJA, and to provide investment advisory, brokerage and other services in accordance with the terms and conditions set forth in this investment management agreement (“RJCS Agreement”). RJA acts as custodian or sub-custodian, as applicable, for funds and securities deposited in Client’s Account(s). For Individual Retirement Custodial Accounts (IRA Accounts), Raymond James Trust Company of New Hampshire is custodian (RJ Trust Co NH – Custodian) and IRA accounts are sub-custodied by RJA. Obligations, rights, duties, responsibilities, and limitations on the scope of services and liability of AMS as described herein shall be construed as obligations, rights, duties, responsibilities, and limitations on the scope of services and liability of RJA. Client acknowledges that the services provided under this RJCS Agreement may be provided by a financial advisor, as designated by Client herein, that may be registered as a securities agent of Raymond James Financial Services, Inc. (“RJFS”), an investment adviser representative of Raymond James Financial Services Advisors, Inc. (“RJFS Advisors”), or an independent investment adviser representative affiliated with RJFS. RJFS is a registered broker-dealer and RJFS Advisors is a registered investment adviser with the Securities and Exchange Commission and both are corporate affiliates of RJA. AMS, RJA, RJFS and RJFS Advisors will be hereafter collectively referred to as “Raymond James”.

**Appointment.**

Client appoints RJA, through its operating division Asset Management Services (“AMS”), to act as Client’s investment adviser in recommending and monitoring investment disciplines offered by affiliated or unaffiliated investment advisers registered with the Securities and Exchange Commission (“Manager(s)”) with whom AMS has entered into a subadvisory agreement. As noted in the Investment Discipline Selection section of this RJCS Agreement, the investment discipline(s) selected by the Client will determine whether AMS or the Manager(s) will exercise discretionary investment authority over assets designated by the Client to the Manager’s investment discipline(s).

Certain Managers classified as “Model Managers” deliver model portfolios to AMS comprised of securities recommended by the Model Manager (“Model Portfolios”) for designated investment disciplines, and thereafter will communicate periodic updates to AMS as changes occur to such Model Portfolios. Should Client select a Model Portfolio investment discipline, AMS exercises discretionary investment authority to effect purchases and sales of Model Portfolio securities. For all other investment disciplines not classified as Model Portfolios (hereafter separately managed accounts, or “SMAs”), the SMA Manager will exercise discretionary investment authority.

**Establishment of Account.**

Upon Client’s selection of a Manager’s investment discipline by completion of this RJCS Agreement and the deposit of cash and/or securities in the custodial account, AMS shall establish account(s) in the name of Client to be managed by Manager or AMS (“Account(s)”) in accordance with the terms of this RJCS Agreement. Client has provided all requested information necessary to open an account and has received a copy of all applicable account opening documentation (“Client Agreement”). A copy of the information provided by Client, along with any other written instructions and selections supplied by Client in this RJCS Agreement, including restrictions, will be supplied to the SMA Manager(s) upon that SMA Manager's selection by Client. AMS and the SMA Manager(s) are entitled to rely on the financial and other information provided by Client in the Client Agreement, which supplements this RJCS Agreement, and together, forms the basis of Client’s relationship with Raymond James and the Client’s participation in the Program.

**Duties of AMS and Manager.**

Client hereby authorizes Manager as subadviser or AMS to assume all investment duties with respect to assets held in the Account and to exercise sole investment authority with respect to such assets. The SMA Manager or AMS shall invest and reinvest the assets of the Account in such stocks, bonds, or other property of any kind as it deems in the best interest of Client in order to achieve the investment objective(s) identified by Client, without regard to holding period, portfolio turnover or resulting gain or loss. The SMA Manager or AMS will exercise its discretion and deal in and with such assets exactly as fully and freely as Client might do as owner, with or without further consent or authority from Client, except that the SMA Manager or AMS are not authorized to withdraw any money, securities, or other property either in the name of Client or otherwise unless expressly authorized by Client.

No Model Portfolio recommendations made by a Model Manager to AMS pursuant to its subadvisory agreement with AMS will be based on the circumstances of, or otherwise tailored to, any individual Client, or deemed to be investment advice to or for any Client. Investment advice provided to a Client selecting a Model Manager's investment discipline pursuant to this RJCS Agreement shall be furnished to Client solely by AMS.

Client understands the SMA Manager, or AMS for selected Model Portfolios, will exercise discretion with respect to the day to day investment management of Client's Account continuously during the term of this RJCS Agreement. Additionally, Client acknowledges and agrees that for certain disciplines in which the SMA Manager assumes discretionary investment authority, Raymond James and/or AMS will share with SMA Manager transactional data on the Account and other identifying information regarding Client and Client's Account, as needed or requested by SMA Manager for purposes of providing services to Client's Account. Client may request reasonable restrictions on the investments made within Client's Account, or Client may reasonably modify existing restrictions previously accepted by the SMA Manager or AMS, as set forth under the Trade Restriction Request section of this RJCS Agreement or as otherwise provided by Client in writing satisfactory to AMS and Manager. However, Client understands AMS and Manager cannot accept instructions that prohibit or restrict the purchase of specific securities or types of securities held within mutual funds or exchange traded products purchased by the SMA Manager or recommended by a Model Manager, where applicable, in Client's Account.

Reasonable restrictions may include that AMS or Manager place certain industry or product type investment restrictions on the Account. Client understands that AMS or Manager, as applicable, may determine the requested restriction is not reasonable in their sole discretion. If any of the restricted Securities are currently held in the Account, Client understands they will be sold at the time the restriction is accepted without regard to tax consequences. In accommodating Client's restriction request, Client understands that in lieu of purchasing a restricted Security, AMS or Manager, as applicable, may in its sole discretion either (i) select an alternative security, (ii) use the funds to invest in additional shares of current portfolio holdings, or (iii) hold the funds in Client's cash sweep account. Client understands that any investment restriction imposed on the Account may impair the attainment of Client's investment objectives and the performance of the Account may materially differ from Accounts in that same strategy or discipline Program that do not have investment restrictions. AMS shall take receipt of prospectuses, where applicable, and will provide Client copies of such prospectuses upon request.

AMS's recommendation of an investment discipline to Client will be based on the Manager's investment philosophy and policies, its record as an investment adviser, and AMS's determination that the investment discipline of the Manager chosen by Client is consistent with Client's investment objectives and to information provided by Client. Client acknowledges that Client has had an opportunity to obtain information and consult with anyone of Client's choosing regarding a Manager's investment techniques, disciplines, and related risk factors, and Client understands that Client has final approval of the selection of an investment discipline. In the event AMS changes its opinion of a Manager or investment discipline such that AMS no longer recommends that Manager or one or more investment disciplines, Raymond James will notify Client, and Client will be asked to select a new Manager or investment discipline. In the event Client wishes to retain a Manager or investment discipline against the recommendation of AMS, AMS may terminate this RJCS Agreement.

Neither AMS nor the SMA Manager will be obligated to render any advice or take any action on Client's behalf with respect to securities held in Client's Account, or the issuers thereof, which become the subject of any legal proceedings, including bankruptcies and shareholder litigation. The right to take any actions with respect to legal proceedings, including without limitation bankruptcies and shareholder litigation, and the right to initiate or pursue any legal proceedings with respect to securities held in Client's Account shall be expressly reserved to Client, and Client will not be obligated to join other parties as a condition precedent to initiating or participating in such a proceeding. Notwithstanding the foregoing, if your Account is an eligible account it will be auto-enrolled in the Class Action Recovery Service provided by RJA, in its capacity as the custodian or sub-custodian of your accounts, as applicable, and your enrollment authorizes us to automate the class action claim process for your accounts. Material terms of the Class Action Recovery Service may be found in the "Class Action Recovery Service" paragraph of the *Important Client Information*. If the security or property held in the Account is accompanied by voting rights, Client understands that Client has the right to retain the authority to exercise or delegate such voting rights to a third party, as Client may choose. Unless otherwise instructed by Client, the Manager or AMS shall exercise such voting rights in the manner it deems appropriate.

Manager and AMS shall have no responsibility to exercise voting rights with respect to securities for which the proxy materials are not available to Manager or AMS. Manager and AMS shall have no responsibility to exercise investment duties with respect to assets that have not been deposited in Client's Account, or when such assets are in transit to a new custodial account, or when the custodian has not received instruction from the Client authorizing Manager or AMS to exercise investment discretion over the assets.

Raymond James will notify Client in writing, at least quarterly, to contact Raymond James if there have been any changes to Client's financial situation or investment objectives, or any other changes to information provided by Client, and if Client wishes to change or impose any reasonable management restrictions. Although AMS will, at least annually, contact Client to determine whether there have been any changes to Client's financial situation or investment objectives, or any changes to information provided by Client. Client is solely responsible for notifying Raymond James in writing of any material change in the information provided by Client or in Client's financial circumstances, including any changes to, or additions of, reasonable management restrictions that may affect the manner in which Client's assets are invested.

AMS has established workflow processes for managed accounts to improve the efficiency of processing activities such as the opening of new RJCS Program Accounts, investment discipline changes, investment of cash contributions, disbursement requests and Account terminations. Processing times may differ based on paperwork requirements, the types of securities being bought or sold and the level of complexity involved in each of these processes. The turnaround time necessary for AMS to process Client instructions or requests involving such activities may require several business days to complete under normal market conditions. As a result, Client understands that any instruction or request submitted by Client involving such activities is not considered a market order, and while delays may result due to the volume of similar requests received by AMS, any such instruction or request will be processed by AMS in an efficient and timely manner.

In its capacity as Subadviser, AMS offers the Tax Overlay Service (or "TOS") as an overlay feature for certain AMS Managed Programs that use model portfolio strategies and/or disciplines. The Tax Overlay Service is provided by AMS utilizing a third-party service provider and is separate and apart from the investment advisory services AMS provides through your Advisory Account under this Program Agreement. The Tax Overlay Service is governed by the Master Tax Overlay Service Agreement ("MTOSA"), which is an Additional Agreement to any Account you elect to enroll in the Tax Overlay Service. Each Advisory Account enrolled in the Tax Overlay Service is assessed a TOS fee pursuant to the MTOSA, and such TOS fee is in addition to the Fee that you pay under this Program Agreement. Enrollment is optional, and if elected as a feature by you, your Tax Overlay Service selections and data will be confirmed to you in a written confirmation along with your other Account details and feature ("Advisory Feature Summary"). Please refer to the MTOSA and the Disclosure Documents for additional information, as well as your Feature Supplement, which refers to the feature specific information which highlights certain key terms and risks of the Tax Overlay Service, you receive along with your Advisory Feature Summary upon enrollment of an eligible account in Tax Overlay Service under the MTOSA.

**Investment Discipline(s).**

Client shall designate the investment discipline of each Account. It is important to review investment objectives, risk tolerance, tax objectives and liquidity needs before selecting a discipline. In making an investment decision, Client understands that other information sources and the advice of Client's financial, legal, or tax advisors may be utilized by Client. In order to change the investment discipline of an Account, Client must submit a verbal or written request satisfactory to AMS, subject to verification. AMS will provide Client written confirmation of a change to the investment discipline of the Account when initiated by Client via verbal request.

**Execution Services.**

Client represents that Client has established a custodial account with Raymond James and the financial advisor designated by Client herein or otherwise as provided by Client in writing satisfactory to Raymond James. Client instructs the SMA Manager to direct Account execution services to RJA, where applicable. Notwithstanding the foregoing, subject to the Manager's obligation to seek best execution for securities transactions for Client's Account(s) where applicable, the Manager may effect securities transactions through brokers or dealers other than RJA (a practice referred to as "trading away").

Brokerage commissions and other charges for transactions not effected through RJA, if any, are generally charged by the executing broker or dealer (see "Asset-Based Fees" section below). Client acknowledges that the asset-based fee paid by Client does not include transaction charges for securities transactions effected through firms other than RJA and such costs will be in addition to the wrap fee assessed by Raymond James. Additional information regarding such transactions, including factors considered by the Manager in making such an election, is available in RJA's Wrap Fee Program Brochure and the Manager's Form ADV Part 2A or equivalent disclosure document.

In the event that the Manager elects to trade equity securities away from RJA, Raymond James will notify Client via a trade confirmation report that the equity trade was executed with a broker-dealer other than RJA, and will provide information regarding brokerage commissions associated with such trades, if any, in a separate report to Client. Client directs AMS to effect transactions for Model Portfolio Accounts through RJA. Under Section 11(a) of the Securities Exchange Act of 1934, Client must consent when AMS effects a transaction for the Account on an exchange of which RJA is a member. Client specifically consents, in the absence of contrary written instructions, to RJA's acting as broker, and being compensated for effecting transactions for the Account on exchanges of which RJA is a member.

Client understands that mutual fund redemption transactions may have tax consequences that should be discussed with Client's financial or tax advisor. Client further understands that any securities used to fund the Account or that are later deposited to the Account may be sold by AMS, thus creating a capital gain or loss depending on the cost basis of the securities. Client should consult their tax advisor for advice on the tax implications of such transactions.

**Asset-Based Fees.**

Client shall pay Raymond James an annual asset-based fee ("Fee") at the rate shown in the attached Asset-Based Fee Schedule. Client understands that the Fee includes compensation to the financial advisor and Raymond James for its execution and advisory services, as well as the subadvisory fee to be paid to the Manager(s).

Client agrees that the subadvisory fee paid to the Manager(s), and the financial advisor's and Raymond James's compensation may be changed at any time without notice to or consent from Client; however, in no event will the total Fee charged to Client's Account be increased without Client's consent.

Client may negotiate the Fee with the financial advisor or other representative of Raymond James designated by Client. Factors involved in such negotiation may include the size of the brokerage account, anticipated additional execution costs related to Managers that trade away from RJA, Raymond James's policy with respect to discounts, and the Client's relationship with Raymond James's financial advisor. Client understands that unless a lower rate has been negotiated by Client, Client should expect Raymond James will charge Fees based upon the schedule set forth herein. Until paid, any Fee due Raymond James shall constitute a lien upon the Account's assets.

Client understands the Fee does not include brokerage commissions resulting from transactions effected through or with broker-dealers other than RJA, "trading away", or mark-ups, mark-downs, spreads or other charges associated with principal transactions, if any, which may include transactions in certain fixed income securities. RJA does not separately itemize such commissions, mark-ups, mark-downs, spreads, or other charges, if any. The Fee includes all execution charges except certain dealer-markups and odd lot differentials, taxes, exchange fees and any other charges imposed by law with regard to any transactions in the Account. Client may also incur charges for other services provided by RJA not directly related to the execution and clearing of transactions including, but not limited to, interest charges on margin loans and fees for legal or courtesy transfers of securities.

**Billing.**

The annual Fee is assessed quarterly in advance, except for certain limited circumstances as further described in the Disclosure Documents. When the Account is incepted, the Fee is billed for the remainder of the current billing period and is based on the initial contribution. The initial Fee payment will become due in full on the date of Account inception. Subsequent quarterly Fees will be calculated based on the Account Value as of the last business day of the previous calendar quarter and will become due the following business day.

If cash or securities, or a combination thereof, amounting to at least \$100,000 are deposited to or withdrawn from Client's Account on an individual business day in the first two months of the quarter, Client authorizes Raymond James to: (i) assess a Fee to the deposited assets based on the value of the assets on the date of deposit for the pro rata number of days remaining in the quarter, or (ii) refund prepaid Fees based on the value of the assets on the date of withdrawal for the pro rata number of days remaining in the quarter. No additional Fee or adjustments to previously assessed Fees will be made in connection with deposits or withdrawals that occur during the last month of the quarter unless requested by Client, subject to Raymond James' approval, in its sole discretion. Raymond James may take any action it considers fair and reasonable with respect to the application of Fee adjustments based upon its review of the timing and amounts of deposits to and withdrawals from Client's Accounts, inclusive of when the source and destination of deposits and withdrawals involve Client's other fee-based advisory accounts.

Client authorizes and directs custodian or sub-custodian, as applicable, to deduct the Fee from Client's Account. Client further authorizes and directs the custodian or sub-custodian, as applicable, to send a quarterly statement to Client which shows all amounts disbursed from Client's Account, including Fees paid to Raymond James. Where RJA serves as Client's custodian or sub-custodian, as applicable, Client understands that the statement supplied to Client by Raymond James will show the Account Value on which the Fee was based and the manner in which the Fee was calculated; all Fees paid to Raymond James from Client's Accounts will be reported on the quarterly statement or Client will be notified separately via invoice.

Should Client change investment disciplines from one Manager to another Manager, any prepaid Fee will be reimbursed for the period not managed under the previous Manager's investment discipline and billed for the remainder of the period for the newly designated Manager investment discipline. This refund and additional deduction will be reflected on the statement. For the purposes of this RJCS Agreement, the term "Account Value" shall mean the total of the absolute market values of each of the non-cash assets (e.g., securities, other investment vehicles) in the Account, long or short, including all cash credit balances, but excluding cash debit balances and non-billable assets. Please refer to RJA's Wrap Fee Program Brochure for additional information, inclusive of the treatment of cash for billing purposes.

**Transaction Costs and Procedures.**

All fees and charges applicable to transactions for the Account shall be payable by Client. Client understands that the Manager's services generally do not include the selection of brokerage firms and that neither AMS's nor the Manager's services include the negotiation of brokerage fees or commission rates.

Client acknowledges that, because the Manager's services do not generally include selection of brokerage firms and that neither AMS's nor the Manager's services include the negotiation of brokerage fee or commission rates, Client will not necessarily obtain execution of transactions or brokerage rates as favorable as those which might be obtained through an investment manager which does undertake to select brokerage firms or to negotiate rates with those selected firms. Client acknowledges that the fees and charges payable under this RJCS Agreement may be higher than the aggregate amount of fees and charges Client would pay if Client were to negotiate the fees and charges of each service provider and securities transaction separately, if available.

**Withdrawal from Accounts.**

Client may withdraw cash or securities in the Account upon providing verbal or written notice to Raymond James, subject to verification. Client may submit written request to withdraw cash from the Account on a periodic basis. Client hereby authorizes the financial advisor designated herein (or the financial advisor's successor) to effect withdrawals from the Account pursuant to Client's request and on Client's behalf, except that such withdrawals may be refused if the withdrawal could reduce the Account balance below the Account minimum. All efforts will be made by AMS to process a withdrawal request in an efficient and timely manner. However, any such request is not considered a market order and delays may result due to factors including, but not limited to, the volume of similar requests received by AMS, the types of securities involved and open trades as of the date of Client's withdrawal request, and trade communication and coordination between AMS and the Manager(s). Client understands that the turnaround time necessary for AMS to process Client's withdrawal request may require several business days to complete under normal market conditions, and will generally be processed in the order in which it is received by AMS. Resulting trades, if any, will be executed at market prices. Raymond James is not responsible for changes in market prices that occur between its receipt of a request to withdraw cash and trade execution.

If Client withdraws assets from the Account prior to delivering proper notice to AMS, Raymond James shall not be responsible, nor liable to Client, for losses to the Account that may result from the need to reverse transactions in the Account for which those assets were to be utilized but were not available. Raymond James reserves the right to terminate the Client's Account or this RJCS Agreement where the total value of cash and securities in the Account falls to a value which Raymond James determines cannot be economically or effectively managed due to the small account size. Client understands that the Account is not intended as a short-term investment vehicle and that such withdrawals from the Account may impair the achievement of Client's stated investment objective(s).

**Successors and Assigns.**

No party may assign any of its rights, powers or duties under this RJCS Agreement without the other party's written consent. Notwithstanding the foregoing, RJA may assign its rights, responsibilities and obligations to a parent (direct or indirect), subsidiary or an affiliate thereof. Successors of an entity may assume the obligations, rights or responsibilities under this RJCS Agreement without written consent of all parties if there is no change in actual control or management of the entity and no material change in the ability to perform services contemplated under the RJCS Agreement.

**Termination of Participation in the RJCS Program.**

AMS or Raymond James may terminate this RJCS Agreement at any time by providing notice of such election to Client. This RJCS Agreement will terminate automatically upon receipt by Raymond James of notice of the death of the Client. Client may terminate this RJCS Agreement by providing Raymond James verbal or written notice, subject to verification. Client hereby authorizes the financial advisor designated herein (or the financial advisor's successor) to terminate the Account pursuant to Client's request and on Client's behalf. AMS will provide Client written confirmation of termination of this RJCS Agreement when initiated by Client via verbal request or instruction to Client's financial advisor.

All efforts will be made by AMS to process the termination request in an efficient and timely manner. However, any such request is not considered a market order and delays may result due to factors including, but not limited to, the volume of similar requests received by AMS and trade communication and coordination between AMS and the Manager(s). Client understands that the turnaround time necessary for AMS to process Client's termination request may require several business days to complete under normal market conditions, and will generally be processed in the order in which it is received by AMS. Resulting trades, if any, will be executed at market prices. Raymond James is not responsible for changes in market prices that occur between its receipt of the termination request and trade execution. Upon termination of the Account, Client acknowledges that Raymond James will have no further obligation to recommend or take any action with respect to the securities or cash in the Account. Upon termination, Client shall receive a refund of any unearned portion of the prepaid Fee. Termination shall not affect Client's responsibility for transactions initiated prior to AMS's receipt of the termination notice. All Fees or charges accruing prior to the termination of the Account will be deducted from the assets of the Account. Upon termination of this Agreement, unless specific written instruction is received from the Client (or from the financial advisor on behalf of the Client), RJA may liquidate any securities in the Account and the Account will be converted to a commission-based brokerage account.

For Accounts managed by an SMA Manager prior to termination, you understand and agree that in the event of liquidation of any Securities in the Account following termination of the SMA Manager, that such liquidation will be affected by RJA and not the terminated SMA Manager. Because the SMA Manager may have invested the Account in certain Securities for which there is a limited or specialized market, you may not receive pricing as favorable upon termination as you would have received if the sales were directed by the SMA Manager prior to termination. Client shall refer to the Client Agreement for additional information regarding commission-based brokerage accounts. Any proceeds from liquidation, along with any cash balance in the Account, may be sent via check to Client's address of record.

**Liability.**

Raymond James shall not be liable to Client for any loss incurred in connection with recommendations or investment decisions made or actions taken on Client's behalf, or in connection with errors of judgment in managing the Account, that were not resulting from Raymond James' negligence, willful misfeasance, or reckless disregard of its duties hereunder. Performance is not guaranteed. All investments include risk and have the potential for both loss and gain. However, there may be circumstances in which Raymond James may be liable. Specifically, Federal and state securities laws impose liability in certain circumstances on persons who act in good faith, and nothing in this RJCS Agreement shall constitute a waiver of any rights that Client may have under applicable state or federal laws. Manager(s) shall not be liable to Client for any loss incurred in connection with recommendations or investment decisions made or actions taken on Client's behalf, or in connection with errors of judgment in managing the Account, that were not resulting from Manager's negligence, willful misfeasance, or reckless disregard of its duties hereunder. Neither Raymond James nor any Manager shall be liable to Client for any loss resulting from any act or omission of Client. The assessment of suitability of investments made by Raymond James on behalf of Client is based on information Client has provided to their financial advisor and Raymond James. To the extent Client fails to inform their financial advisor and Raymond James of his/her particular financial circumstances, including providing information about investments held by Client through an investment adviser and/or brokerage firm other than Raymond James, Client understands that Raymond James is limited in its ability to ensure that investments it makes on behalf of Client are appropriate for Client in light of Client's overall financial circumstances and investment objectives.

**Disclosure.**

AMS is a division of RJA, which is registered as investment adviser and broker-dealer with the Securities and Exchange Commission. Client should refer to the Client Agreement and the Wrap Fee Program Brochure of RJA for further information regarding RJA's business relationships with affiliated entities and its custodial services with respect to cash reserves of Client accounts. With respect to cash reserves of the Account, the custodian of the Account assets will determine what cash reserve options are available to Client. Where RJA acts as custodian or sub-custodian, as applicable, Client may be offered one or multiple options based on their account type. The Managers in the Program are registered as investment advisers with the Securities and Exchange Commission. Please refer to the Form ADV Part 2A or equivalent disclosure document of each Manager selected by Client a copy of which may be requested from Client's financial advisor, for further information regarding that Manager's services, key personnel, policies and practices, and business relationships with affiliated entities. Carillon Tower Advisers, Inc., RJA, RJFS and RJFS Advisors are wholly-owned subsidiaries of Raymond James Financial, Inc., a publicly owned corporation. Chartwell Investment Partners, LLC, Eagle Asset Management, Inc. and Scout Investments, Inc. are wholly owned subsidiaries of Carillon Tower Advisers. Cougar Global Investments Limited is an affiliate of Carillon Tower Advisers and a wholly-owned subsidiary of Raymond James International Canada.

**Acknowledgement of Receipt of Disclosure Brochure.**

RJA's Wrap Fee Program Brochure and Manager's Form ADV Part 2A are integral parts of this Agreement and Client's relationship with RJA. As required by Rule 204-3 under the Investment Advisers Act of 1940, Client certifies receipt of RJA's Wrap Fee Program Brochure, Part 2A of Manager's Form ADV or equivalent disclosure document and applicable Brochure Supplements. A copy of RJA's Wrap Fee Program Brochure may be found at: <https://www.raymondjames.com/legal-disclosures>.

**ERISA Plans.**

If an Account is established on behalf of an employee benefit plan subject to the provisions of the Employee Retirement Income Security Act of 1974, as amended ("ERISA") (the "Plan"), as the person(s) executing this RJCS Agreement on behalf of the Plan, Client hereby represents that they are a "Named Fiduciary" as that term is contemplated by ERISA, with respect to the control or management of the assets of the Plan, and that Client is empowered and has the authority to appoint RJA as a service provider for the Plan. Client hereby acknowledges that the designation of RJA as a service provider, and the investments and related transactions contemplated by this RJCS Agreement, are consistent with and permissible under the Plan's governing documents and ERISA.

U.S. Department of Labor regulations require a "covered service provider" to disclose to a "responsible plan fiduciary" of an employee benefit plan subject to ERISA certain information in connection with the services that a service provider provides to a plan, to assist the responsible plan fiduciary in evaluating the reasonableness of fees and expenses in light of the services available to a plan. Raymond James' comprehensive disclosure document is available at [www.raymondjames.com/408b2](http://www.raymondjames.com/408b2). By signing, you acknowledge that you (i) are the responsible plan fiduciary, (ii) authorize engagement of RJA to provide services to the Plan, (iii) have read and understand the disclosure, and (iv) agree that the fees and expenses to be paid are reasonable.

**Arbitration and Dispute Resolution.**

All disputes and controversies which may arise between Client, Raymond James and any Manager concerning any transaction, or the construction, performance or breach of this or any agreement between Client, Raymond James and its affiliates shall be resolved in accordance with the "Arbitration and Dispute Resolution" provisions of the separate Client Agreement signed by Client in establishing the custodial account.

Nothing in this RJCS Agreement shall constitute a waiver or limitation of any rights which the Client may have under applicable state or federal law to pursue remedies against Raymond James or a Manager in other forums, including state and federal courts.

**Representations by Client.**

Client represents that the terms hereof do not violate any obligation by which Client is bound, whether arising by contract, operation of law, or otherwise. If acting as a fiduciary, Client represents that: (a) the investment objective(s) designated by Client is within the scope of the investments and policies authorized by the governing instrument; (b) Client is authorized by the governing instrument to delegate discretionary investment management authority to an investment manager, as set forth in this RJCS Agreement; and (c) Client will deliver evidence of Client's authority to act as Raymond James may reasonably require, whether by way of certified resolution, trust agreement, ERISA Plan, or otherwise.

Client represents that Client is of the age of majority according to the laws of Client's state of residence. Client further represents that Client is not an employee of any exchange or a member firm of any exchange or member of the Financial Industry Regulatory Authority ("FINRA"), or of a bank, trust company or insurance company unless Client notifies Raymond James to that effect. If Client becomes so employed, Client agrees to notify Raymond James promptly. Client also represents that no persons other than those signing this RJCS Agreement have an interest in the Account.

**Notices.**

All written notices from Client pursuant to this RJCS Agreement shall be deemed effective when received by Raymond James at: Raymond James & Associates, Inc., 880 Carillon Parkway, St. Petersburg, FL 33716. Written notices required from Raymond James to Client pursuant to this RJCS Agreement shall be deemed effective when sent to Client at the address provided by Client in the Client Agreement. Each party shall be entitled to presume the correctness of such addresses until notified to the contrary. Receipt of a telegram, electronic mail message or facsimile transmission by either party will constitute receipt of written notice.

Raymond James shall not be liable to Client for any action reasonably taken by Raymond James in reliance upon receipt of instructions from Client, including those communicated by the financial advisor designated herein (or the financial advisor's successor) pursuant to Client's request and on Client's behalf. Raymond James is authorized to act on oral instructions concerning Client's Account and Raymond James is not liable for acting on any false oral instructions if the instructions reasonably appeared to Raymond James to be genuine. Client authorizes Raymond James to electronically record any and all conversations between Client or Client's representative(s) and Raymond James.

**Governing Law.**

This RJCS Agreement shall be construed and interpreted in accordance with the laws of the State of Florida, without the application of the principles of choice of law. This RJCS Agreement is also intended to conform to the requirements of, and to be construed and interpreted in accordance with, ERISA, when applicable.

**Severability.**

It is understood by the parties hereto that if any term, provision, duty, obligation or undertaking herein contained is held by the courts to be unenforceable or illegal or in conflict with the applicable state law, the validity of the remaining portions shall not be affected, and the rights and obligations of the parties shall be construed and enforced as if such invalid or unenforceable provision was not contained herein.

**Counterparts; Electronic Signatures.**

This Agreement may be executed in multiple counterparts, all of which shall be considered one and the same agreement. You and Raymond James each agree that the transactions contemplated by this Agreement may be conducted or performed, in the whole or in part, by electronic means and that electronic signatures, whether digital or encrypted, to this Agreement are intended to authenticate this writing and to have the same force and effect as manual signatures. Electronic signatures shall include any electronic symbol, sound or process attached to or logically associated with this Agreement and executed or adopted by you or Raymond James with the intent to sign this Agreement.

**Amendment.**

This RJCS Agreement may be amended by (1) Raymond James upon thirty days' written notice and delivered pursuant to this RJCS Agreement, or (2) by a writing approved and executed by the parties hereto.

**Effective Date.**

This RJCS Agreement will be effective upon execution by both Client and Raymond James.

**Important Information About Opening A New Account**

Federal law requires all financial institutions to obtain, verify, and record certain personal information -- including name, street address, social security number, and date of birth, among other information -- that will be used to verify Client's identity. If Client does not provide Raymond James with this information on a timely basis, Raymond James may not be able to open the Account, or if Raymond James is unable to verify Client's identity, Raymond James reserves the right to close the Account.

**Client Information**

Complete Account Title

Account Address

City

State

Zip

**Financial Advisor Information**

Financial Advisor	Branch No.	Financial Advisor No.	Business Telephone No.	
Firm Address	City		State	Zip
Financial Advisor <i>(if applicable)</i>	Branch No.	Financial Advisor No.	Business Telephone No.	
Firm Address	City		State	Zip
Financial Advisor <i>(if applicable)</i>	Branch No.	Financial Advisor No.	Business Telephone No.	
Firm Address	City		State	Zip

If the financial advisor ceases to be affiliated with Raymond James, or does not maintain the necessary regulatory registration to service Client's Account(s), Raymond James may appoint another duly registered financial advisor to service this RJCS Agreement. In such an event, the Manager and AMS will continue to effect transactions for the Account(s) through RJA until Client instructs otherwise.

**Selection of Trade Confirmation Option**

**Please select one. If no selection is made, Option 1 (Quarterly Trade Confirmation Summary) will be applied.**

Client may change the trade confirmation option from Immediate to Monthly or Quarterly at any time by providing proper written notice. In addition, upon request Raymond James will provide Client Immediate trade confirmations for all transactions made during the prior twelve months, at no additional cost to Client. The Monthly and Quarterly summary trade confirmation option is available only for Raymond James custodial wrap fee Accounts. The wrap fee will not change as a result of this election and Client's decision to make this election is not a condition for eligibility, or continued participation in the RJCS Program.

- Quarterly Trade Confirmation Summary.** Client agrees to forego the receipt of an immediate trade confirmation, provided that Raymond James supplies Client with a quarterly summary, which contains all of the information that would otherwise be required to appear on the trade confirmation.
- Monthly Trade Confirmation Summary.** Client agrees to forego the receipt of an immediate trade confirmation, provided that Raymond James supplies Client with a monthly summary, which contains all of the information that would otherwise be required to appear on the trade confirmation.
- Immediate Trade Confirmation Summary.** Client wishes to receive trade confirmations immediately upon execution of trades.

Account #

**Funding of Account**

*Program Accounts may be funded with cash, securities, or a combination of both. The information provided below should reflect the complete funding of all Accounts pursuant to this RJCS Agreement.*

**1. Funding with Cash**

<input type="radio"/> Check Deposit* <input type="radio"/> Transfer <input type="radio"/> Wire <input type="radio"/> Cash in Account             * Payable to Raymond James & Associates		
From Account No.	Amount	Account Name

**2. Funding Account with Securities (stocks/mutual funds/bonds only)\***

(Partnerships must be liquidated / converted to cash before moving to managed accounts.)

<input type="radio"/> Transfer of Securities from Raymond James Account		<input type="radio"/> Transfer of Securities from another brokerage firm†		<input type="radio"/> Securities Deposit††	
<input type="radio"/> All Securities (attach copy of most recent brokerage statement)		<input type="radio"/> Partial Transfer (attach list of securities to transfer)		<input type="radio"/> Securities in Account	
From Account No.	Amount	Account Name			
† (ACAT or non-automated ACAT form and current statement are attached)			†† (certificates and stock powers delivered to branch)		

**3. Periodic Deposit (All trades are made on a discretionary basis.)**

(Minimum investment must be met at inception. Subsequent periodic investments need to meet the minimum dollar amounts specified below.)

Request Type	Dollar Amount Deposited Per Period <sup>1</sup>	Total Dollar Amount of All Periodic Deposits	Frequency Monthly [M] Quarterly [Q] <sup>2</sup> Semi-Annually [S] <sup>3</sup>	Start Month <sup>4</sup> Recurring Deposit Trade Date (7 <sup>th</sup> or 21 <sup>st</sup> day) Month : Day	End Month (if applicable) Trade Date (7 <sup>th</sup> or 21 <sup>st</sup> day) Month : Day
Add Change Cancel					

<sup>1</sup> The minimum dollar amount investment per period required is \$1,000 for all accounts. Annual contribution limits for RJ Custodial tax qualified accounts apply.

<sup>2</sup> Quarterly Periodic Deposits are available in March, June, September & December.

<sup>3</sup> Semi-Annual Periodic Deposits are available in June & December.

<sup>4</sup> Periodic Deposits may only be initiated on the 7<sup>th</sup> or 21<sup>st</sup> day of the month.

**Additional Instructions:**

\* NOTE: For Accounts funded with securities, a statement of the cost basis of the securities should be provided to Raymond James so that it can provide accurate gain/loss information. Securities that are deposited to fund the Account are subject to AMS's or the Manager's decision to keep or sell the securities, at the discretion of AMS or the Manager. Additionally, AMS's and/or the Manager's review of securities may delay initial investing of the Account. Certain funding transactions may be handled by RJA on a principal basis for trade execution and clearing, and are not considered investment advisory services of Raymond James. RJA may be unable to sell a security used to fund the Account, on either an agency or principal basis, due to a lack of liquidity in the security or the lack of a willing buyer. In such circumstances, Client will be advised that the security must be held in a non-managed account for Client to sell at a later date at Client's discretion.

Client hereby represents that any information provided regarding the original cost basis of securities is accurate to the best of Client's knowledge and belief. Client is responsible for accurately reporting gains and losses on tax returns. Client understands that in the event that no cost basis is provided, Raymond James will record a zero cost basis for such securities; and in such an event, realized and unrealized gains reported on such securities may be overstated.

Account #

## What You Should Know About Managers That Elect to Trade Away from Raymond James

In the event an SMA Manager elects to trade away from RJA, brokerage commissions and other charges for transactions not effected through RJA may be charged to the client by the executing broker or dealer, whereas the wrap fee assessed by Raymond James covers the cost of brokerage commissions on transactions effected by RJA. When trading away from RJA, these transactions are generally traded from broker to broker and are frequently cleared without any commissions. However, the client should be aware that, in many cases, the executing broker or dealer may assess a commission or other charges to the transaction and such costs will be in addition to the wrap fee assessed by Raymond James. As a result, the net purchase or sale price reflected on trade confirmations and brokerage statements provided by Raymond James on these trades will embed brokerage commissions or dealer markups or markdowns charged by the executing broker or dealer, that are not currently itemized by Raymond James. Additionally, investment disciplines of SMA Managers that elect to trade away from RJA will generally be more costly to clients than those disciplines of SMA Managers that elect to trade exclusively or primarily through RJA. Some SMA Managers have historically directed most, if not all, of their program trades to outside broker-dealers, and only maintenance trades are effected through RJA (where maintenance trades are those associated with individual new account openings, capital additions/disbursements, and account terminations).

In the selection of brokers or dealers to effect transactions, the SMA Manager may consider all relevant factors, including, among other things, the value of research services they receive from the broker, execution capability, speed and efficiency, confidentiality, familiarity with potential purchasers or sellers, commission rates, financial responsibility, responsiveness or any other relevant matters. SMA Managers that specialize in fixed income, international, small-cap or exchange-traded product disciplines will be more likely to trade away from RJA due to market dynamics, liquidity, exchange availability, institution specialty or other factors they consider relevant in satisfying their best execution obligations to clients. Clients should understand that Raymond James does not evaluate whether an SMA Manager is meeting its best execution obligations to clients when trading away, as it is not a party to such transactions and is not in a position to negotiate the price or transaction related charge(s) with the executing broker or dealer. Raymond James does not generally discourage or restrict an SMA Manager's ability to trade away, as the responsibility to determine the appropriateness of trading away from RJA falls under the SMA Manager's individual fiduciary duty to clients and their relevant expertise in trading portfolio securities.

As the potential exists that clients may be assessed additional costs when selecting an SMA Manager that elects to trade away from RJA, we have identified equity SMA Managers in the Investment Discipline Selection section of this RJCS Agreement. In addition, we have published a document, accessible on Raymond James's public website (see "Important Information Regarding Investment Manager Trading Practices" at [www.raymondjames.com/disclosure-trading-practices](http://www.raymondjames.com/disclosure-trading-practices), or a copy may be obtained from your financial advisor), describing the trading practices of Investment Managers participating in the RJCS Program, which also identifies equity SMA Managers that have frequently traded away from RJA and the average additional costs associated with these trades. While we believe it is important for clients to have access to this information to aid in their decision making process, we also believe it equally important that clients review the historical performance of these SMA Managers, which reflects these additional costs (that is, such performance presentations reflect the "net" price at which all transactions were effected, including those that were traded away). The "market" for fixed income securities is largely comprised of dealers that trade over the counter amongst themselves and very few bonds trade on organized exchanges. Due to the structure of the fixed income market, the participating dealers do not currently, nor are they required to, disclose the markup, markdown or spread at which purchases and sales are effected. As a result, although there will be additional costs associated with fixed income securities traded away from RJA, these SMA Managers are unable to provide this information to Raymond James, and Raymond James is currently unable to present such information to clients.

## Managers That Invest in Affiliated Mutual Funds

Raymond James generally limits investments by SMA Managers in mutual funds due to the additional fees and expenses typically associated with these securities (assessed by the fund company or trust, such as management fees and operating expenses). However, certain SMA Managers may invest a portion of a client's account, or include an allocation within their strategy or discipline, in mutual funds affiliated with the SMA Manager. Should an SMA Manager request to invest in or recommend such a mutual fund, Raymond James may accommodate such investments, provided the affiliated mutual fund is available exclusively for investment through the particular strategy or discipline offered in the RJCS Program (directly or through the Portfolio Select UMA Programs) and the SMA Manager will only receive compensation on RJCS and Portfolio Select UMA Programs SMA Account assets via the RJCS and Portfolio Select UMA programs' applicable fee. Additional information regarding SMA Manager affiliated mutual funds is available in the Disclosure Documents and in the applicable SMA Manager's Form ADV Part 2A or equivalent disclosure document, as well as the mutual fund's prospectus(es) and/or Statement of Additional Information, each of which are available from your financial advisor. SMA Managers that invest in or recommend such SMA Manager affiliated mutual funds are identified on the Investment Discipline Selection section of this RJCS Agreement. SMA Manager affiliated mutual funds may not be held outside of a RJCS or Portfolio Select UMA Program account; therefore, upon termination of an account holding SMA Manager affiliated mutual funds or transfer to an account that cannot hold SMA mutual funds, Raymond James will immediately redeem any shares.

Account #

**Investment Discipline Selection(s)**
**1. Equity & Balanced Disciplines**
**(Minimum Investment \$100,000)**

	<i>Manager</i>	<i>Investment Discipline*</i>	<i>Code</i>	<i>Fee Schedule</i>
<input type="radio"/>	Advent Capital Management, LLC	Phoenix Convertible Income Strategy # (SMA) (min \$250,000)	pcis	A
<input type="radio"/>	AEW Capital Management, LP	REITs (SMA)	reit	A
<input type="radio"/>	Aligned Investors, LLC	U.S. Blue Chip Equity (Model)	alibce	B
<input type="radio"/>	Aligned Investors, LLC	Mid-cap Equity (Model)	alimce	B
<input type="radio"/>	Allspring Global Investments	Large-cap Core Equity (Model) (min \$70,000)	wcaglc	B
<input type="radio"/>	Allspring Global Investments	U.S. Mid-cap Value (Model)	wcamid	B
<input type="radio"/>	Anchor Capital Advisors, LLC	All-cap Value (Model) (min \$70,000)	ancacv	B
<input type="radio"/>	Anchor Capital Advisors, LLC	All-cap Value Balanced (Model) (min \$70,000)	ancavb	B
<input type="radio"/>	Anchor Capital Advisors, LLC	Mid-cap Value (Model)	ancmv	B
<input type="radio"/>	Atlanta Capital Management Co., LLC	High Quality Select Equity (Model) (min \$70,000)	atlse	B
<input type="radio"/>	Bahl & Gaynor Investment Counsel	Income Growth (Model) (min \$70,000)	blgig	B
<input type="radio"/>	Bahl & Gaynor Investment Counsel	Large-cap Quality Growth (Model) (min \$70,000)	blglqg	B
<input type="radio"/>	Bahl & Gaynor Investment Counsel	Small Mid-cap Income Growth (Model) (min \$70,000)	blgsmg	B
<input type="radio"/>	Beutel, Goodman & Company Ltd.	U.S. Large-cap Value (Model) (min \$70,000)	beuuse	B
<input type="radio"/>	BlackRock Investment Management, LLC	Equity Dividend (Model) (min \$70,000)	blred	B
<input type="radio"/>	BlackRock Investment Management, LLC	Global Dividend Income (Model) (min \$70,000)	blrgdi	B
<input type="radio"/>	Boston Partners	Large-cap Value (Model)	roblv	B
<input type="radio"/>	Boston Partners	Mid-cap Value (Model)	robmv	B
<input type="radio"/>	Brown Advisory, LLC	Large-cap Growth (Model) (min \$70,000)	blg	B
<input type="radio"/>	Brown Advisory, LLC	Large-cap Sustainable Growth (Model) (min \$70,000)	bwalcs	B
<input type="radio"/>	Cantor Fitzgerald Investment Advisors, L.P.	Large-cap Focused Growth (Model)	smglg	B
<input type="radio"/>	Capital Group	Global Equity (Model)	cpgge	B
<input type="radio"/>	Capital Group	Global Growth (Model)	cpggg	B
<input type="radio"/>	Capital Group	International Equity (Model)	cpgie	B
<input type="radio"/>	Capital Group	International Growth (Model)	cpgig	B
<input type="radio"/>	Capital Group	U.S. Core (Model)	cpguc	B
<input type="radio"/>	Capital Group	U.S. Equity (Model)	cpgue	B
<input type="radio"/>	Capital Group	U.S. Growth (Model)	cpgug	B
<input type="radio"/>	Capital Group	U.S. Income and Growth (Model) (min \$70,000)	cpguig	B
<input type="radio"/>	Capital Group	World Dividend Growers (Model) (min \$70,000)	cpgwdg	B
<input type="radio"/>	Capital Wealth Planning, LLC	Catholic Values Enhanced Dividend Income➤ (SMA) (min \$325,000)	cvedi	B
<input type="radio"/>	Capital Wealth Planning, LLC	Enhanced Dividend Income➤ (SMA) (min \$325,000)	enhdiv	B
<input type="radio"/>	Causeway Capital Management, LLC	International Value Equity (Model)	cauive	B
<input type="radio"/>	<i>Chartwell Investment Partners, LLC</i>	<i>Small Mid Cap Value (Model) (min \$70,000)</i>	<i>chwsmv</i>	<i>B</i>

Italicized Managers are affiliated with Raymond James.

\* AMS holds discretionary authority on Model disciplines and Manager holds discretionary authority on SMA disciplines.

➤ Client must provide an Option Agreement and Suitability Form (Form No. 1112 – [RJA/RJFS](#)) and approval for covered call writing must be granted before the Manager will begin managing Client's Account.

# Manager frequently trades away from RJA. Additional information regarding the frequency and average costs related to trading away is available on Raymond James public website (see "Important Information Regarding Investment Manager Trading Practices" at [www.raymondjames.com/disclosure-trading-practices](http://www.raymondjames.com/disclosure-trading-practices), or a copy may be obtained from your financial advisor).

Account #

**Investment Discipline Selection(s)**
**1. Equity & Balanced Disciplines (continued)**
**(Minimum Investment \$100,000)**

<input type="radio"/>	Clarkston Capital Partners, LLC	Mill Strategy (Large-cap) (Model)	(min \$70,000)	clalce	B
<input type="radio"/>	Clarkston Capital Partners, LLC	Founders Strategy (Mid-cap) (Model)	(min \$70,000)	clamce	B
<input type="radio"/>	ClearBridge Investments, LLC	All-cap Growth (Model)		cbiag	B
<input type="radio"/>	ClearBridge Investments, LLC	Value (Model)		cbiav	B
<input type="radio"/>	ClearBridge Investments, LLC	Value ESG (Model)		cbieav	B
<input type="radio"/>	ClearBridge Investments, LLC	Appreciation (Model)	(min \$70,000)	cbiapp	B
<input type="radio"/>	ClearBridge Investments, LLC	Dividend (Model)	(min \$70,000)	cbids	B
<input type="radio"/>	ClearBridge Investments, LLC	Global Infrastructure Income (Model)	(min \$70,000)	cbigia	B
<input type="radio"/>	ClearBridge Investments, LLC	International Growth ADR ESG (Model)		cbieai	B
<input type="radio"/>	ClearBridge Investments, LLC	International Growth ADR (Model)		cbiaig	B
<input type="radio"/>	ClearBridge Investments, LLC	International Value ADR (Model)	(min \$70,000)	cbiaiv	B
<input type="radio"/>	ClearBridge Investments, LLC	Large-cap Growth (Model)	(min \$70,000)	cbilg	B
<input type="radio"/>	ClearBridge Investments, LLC	Large-cap Value # (SMA)		lv	A
<input type="radio"/>	ClearBridge Investments, LLC	Mid-cap Core # (SMA)		mc	A
<input type="radio"/>	ClearBridge Investments, LLC	Mid-cap Growth♦ (Model)	(min \$70,000)	cbimg	B
<input type="radio"/>	ClearBridge Investments, LLC	Sustainability Leaders♦ (Model)	(min \$70,000)	cbisl	B
<input type="radio"/>	ClearBridge Investments, LLC	Small-cap Growth (Model)		cbisg	B
<input type="radio"/>	Coho Partners, Ltd.	Relative Value Equity (Model)	(min \$70,000)	cohve	B
<input type="radio"/>	Columbia Threadneedle Investments	Contrarian Large-cap Core (Model)		jwsc	B
<input type="radio"/>	Columbia Threadneedle Investments	Dividend Income (Model)	(min \$70,000)	jwsdi	B
<input type="radio"/>	Columbia Threadneedle Investments	Select Large-cap Growth (Model)		jwssl	B
<input type="radio"/>	Columbia Threadneedle Investments	Select Large-cap Value (Model)	(min \$70,000)	jwssl	B
<input type="radio"/>	Conestoga Capital Advisors, LLC	Small-to Mid-cap Growth (Model)	(min \$70,000)	cgasmg	B
<input type="radio"/>	Congress Asset Management Co, LLP	Large-cap Balanced (SMA)	(min \$150,000)	lbal	B
<input type="radio"/>	Congress Asset Management Co, LLP	Large-cap Growth (SMA)		lg	B
<input type="radio"/>	Cookson Peirce & Co., Inc.	All-cap Equity (Model)	(min \$70,000)	cpiae	B
<input type="radio"/>	Copeland Capital Management, LLC	<i>Small- to Mid-cap Dividend Growth♦ (Model)</i>	(min \$70,000)	cpdmsd	B
<input type="radio"/>	<i>Cougar Global Investments Limited</i>	<i>Global Tactical Strategy - Conservative (Model)</i>	<i>(min \$25,000)</i>	<i>cgii</i>	<i>B</i>
<input type="radio"/>	<i>Cougar Global Investments Limited</i>	<i>Global Tactical Strategy - Conservative Growth (Model)</i>	<i>(min \$25,000)</i>	<i>cgicg</i>	<i>B</i>
<input type="radio"/>	<i>Cougar Global Investments Limited</i>	<i>Global Tactical Strategy - Moderate Growth (Model)</i>	<i>(min \$25,000)</i>	<i>cgimg</i>	<i>B</i>
<input type="radio"/>	<i>Cougar Global Investments Limited</i>	<i>Global Tactical Strategy - Growth (Model)</i>	<i>(min \$25,000)</i>	<i>cgig</i>	<i>B</i>
<input type="radio"/>	Crawford Investment Counsel, Inc.	Smaller Company Dividend Strategy		scds	A

**High Quality Tax-Free Discipline please provide:**

State of Residence		Tax Bracket		Is Client subject to Alternative Minimum Tax? <input type="radio"/> Yes <input type="radio"/> No
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Italicized Managers are affiliated with Raymond James.

\* AMS holds discretionary authority on Model disciplines and the Manager holds discretionary authority on SMA disciplines.

♦ Accounts may invest in Master Limited Partnership (“MLP”) units, which may result in unique tax treatment, including Schedule K-1 reporting. Please consult your tax adviser for additional information regarding the tax consequences associated with MLP investments.

 # Manager frequently trades away from RJA. Additional information regarding the frequency and average costs related to trading away is available on Raymond James public website (see “Important Information Regarding Investment Manager Trading Practices” at [www.raymondjames.com/disclosure-trading-practices](http://www.raymondjames.com/disclosure-trading-practices), or a copy may be obtained from your financial advisor).

Account #

**Investment Discipline Selection(s)**

**1. Equity & Balanced Disciplines (continued)**

**(Minimum Investment \$100,000)**

	<i>Manager</i>	<i>Investment Discipline*</i>	<i>Code</i>	<i>Fee Schedule</i>
<input type="radio"/>	Dana Investment Advisors, Inc.	Catholic ESG (Model) (min \$70,000)	daacsr	B
<input type="radio"/>	Davis Selected Advisers, L.P.	All-cap Value Blend (Model) (min \$70,000)	davacv	B
<input type="radio"/>	Davis Selected Advisers, L.P.	Large-cap Value Blend (Model) (min \$70,000)	davlcv	B
<input type="radio"/>	Dearborn Partners LLC	Balanced Income (60% Equities/40% Fixed Income) (Model) (min \$70,000)	dbp60b	B
<input type="radio"/>	Dearborn Partners LLC	Balanced Income (80% Equities/20% Fixed Income) (Model) (min \$70,000)	dbp80b	B
<input type="radio"/>	Dearborn Partners LLC	Core Rising Dividend Equity (Model) (min \$70,000)	dbpcrd	B
<input type="radio"/>	Dearborn Partners LLC	High and Rising Dividend Equity (Model) (min \$70,000)	dbphrd	B
<input type="radio"/>	Delaware Investments	Large-cap Value (Model) (min \$70,000)	dwrlv	B
<input type="radio"/>	D.F. Dent & Company, Inc.	Mid-cap Growth (Model) (min \$70,000)	dfdmg	B
<input type="radio"/>	Diamond Hill Capital Management, Inc.	Large-cap Value (Model) (min \$70,000)	dmdlc	B
<input type="radio"/>	DSM Capital Partners, LLC	Large-cap Growth (Model) (min \$70,000)	dsmlg	B
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Enhanced Income</i> > (SMA) (min \$300,000)	<i>eccs</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Equity Income</i> (Model) (min \$70,000)	<i>eamei</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Equity Income Balanced</i> ∞ (SMA) (50% Equities/50% Government Securities) (min \$150,000)	<i>eqib5g</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Equity Income Balanced</i> ∞ (SMA) (60% Equities/40% Government Securities) (min \$125,000)	<i>eqib4g</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Equity Income Balanced</i> ∞ (SMA) (70% Equities/30% Government Securities) (min \$134,000)	<i>eqib3g</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Equity Income Balanced</i> (SMA) (50% Equities/50% High Quality Tax Free Fixed Income) (min \$200,000)	<i>eqib5n</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Equity Income Balanced</i> (SMA) (60% Equities/40% High Quality Tax Free Fixed Income) (min \$250,000)	<i>eqib4n</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Equity Income Balanced</i> (SMA) (70% Equities/30% High Quality Tax Free Fixed Income) (min \$333,333)	<i>eqib3n</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Equity Income Balanced</i> ∞ (SMA) (60% Equities/40% High Quality Taxable Fixed Income) (min \$250,000)	<i>eqib4t</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Equity Income Balanced</i> ∞ (SMA) (50% Equities/50% High Quality Taxable Fixed Income) (min \$200,000)	<i>eqib5t</i>	<i>B</i>

For Eagle Strategic Income Portfolio with Municipals and High Quality Tax-Free Discipline please provide:

State of Residence		Tax Bracket		Is Client subject to Alternative Minimum Tax? <input type="radio"/> Yes <input type="radio"/> No
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Italicized Managers are affiliated with Raymond James.

\* AMS holds discretionary authority on Model disciplines and the Manager holds discretionary authority on SMA disciplines.

> Client must provide an Option Agreement and Suitability Form (Form No. 1112 – [RJA/RJFS](#)) and approval for covered call writing must be granted before the Manager will begin managing Client's Account.

# Manager frequently trades away from RJA. Additional information regarding the frequency and average costs related to trading away is available on Raymond James public website (see "Important Information Regarding Investment Manager Trading Practices" at [www.raymondjames.com/disclosure-trading-practices](http://www.raymondjames.com/disclosure-trading-practices), or a copy may be obtained from your financial advisor).

∞ The fixed income component of this Eagle Balanced discipline will be a combination of high quality corporate bonds and government securities for account sizes of \$250,000 or greater. For account sizes less than \$250,000 the taxable fixed income portion of the account will be invested in government securities only.

Account #

**Investment Discipline Selection(s)**
**1. Equity & Balanced Disciplines (continued)**
**(Minimum Investment \$100,000)**

	<i>Manager</i>	<i>Investment Discipline*</i>	<i>Code</i>	<i>Fee Schedule</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Equity Income Balanced<sup>∞</sup> (SMA) (70% Equities/30% High Quality Taxable Fixed Income) (min \$333,333)</i>	<i>eqib3t</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Gibbs Core Growth (Model) (min \$50,000)</i>	<i>eamgcg</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Gibbs Equity Income (Model) (min \$50,000)</i>	<i>eamgeq</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>International ADR (Model) (min \$70,000)</i>	<i>eamadr</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Large-cap Core (Model)</i>	<i>eamlc</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Large-cap Core Growth Balanced (SMA) (30% Equities/70% High Quality Tax Free Fixed Income) (min \$250,000)</i>	<i>lcgb7f</i>	<i>C</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Large-cap Core Growth Balanced (SMA) (40% Equities/60% High Quality Tax Free Fixed Income) (min \$250,000)</i>	<i>lcgb6f</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Large-cap Core Growth Balanced<sup>∞</sup> (SMA) (40% Equities/60% High Quality Taxable Fixed Income) (min \$250,000)</i>	<i>lcgb6t</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Large-cap Core Growth Balanced<sup>∞</sup> (SMA) (50% Equities/50% Government Securities) (min \$150,000)</i>	<i>lcgb5g</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Large-cap Core Growth Balanced<sup>∞</sup> (SMA) (60% Equities/40% Government Securities) (min \$125,000)</i>	<i>lcgb4g</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Large-cap Core Growth Balanced<sup>∞</sup> (SMA) (70% Equities/30% Government Securities) (min \$134,000)</i>	<i>lcgb3g</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Large-cap Core Balanced (SMA) (50% Equities/50% High Quality Tax Free Fixed Income) (min \$200,000)</i>	<i>lcgb5n</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Large-cap Core Balanced (SMA) (60% Equities/40% High Quality Tax Free Fixed Income) (min \$250,000)</i>	<i>lcgb4n</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Large-cap Core Balanced (SMA) (70% Equities/30% High Quality Tax Free Fixed Income) (min \$333,333)</i>	<i>lcgb3n</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Large-cap Core Balanced<sup>∞</sup> (SMA) (50% Equities/50% High Quality Taxable Fixed Income) (min \$200,000)</i>	<i>lcgb5t</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Large-cap Core Balanced<sup>∞</sup> (SMA) (60% Equities/40% High Quality Taxable Fixed Income) (min \$250,000)</i>	<i>lcgb4t</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Large-cap Core Balanced<sup>∞</sup> (SMA) (70% Equities/30% High Quality Taxable Fixed Income) (min \$333,333)</i>	<i>lcgb3t</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Large-cap Growth (Model)</i>	<i>eamlg</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Mid-cap Growth (Model)</i>	<i>eammg</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Small Cap Growth (Model)</i>	<i>eamsg</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Small- to Mid-cap Select (Model) (min \$70,000)</i>	<i>eamesm</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Small- to Mid-cap Strategy (Model) (min \$70,000)</i>	<i>eamsmc</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Strategic Income Portfolio Balanced /Tax-Free MIS ETF (Model)</i>	<i>eamsmm</i>	<i>B</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Strategic Income Portfolio Balanced/Taxable MIS ETF (Model)</i>	<i>eamstm</i>	<i>B</i>

Italicized Managers are affiliated with Raymond James.

\* AMS holds discretionary authority on Model disciplines and the Manager holds discretionary authority on SMA disciplines.

∞ The fixed income component of this Eagle Balanced discipline will be a combination of high quality corporate bonds and government securities for account sizes of \$250,000 or greater. For account sizes less than \$250,000 the taxable fixed income portion of the account will be invested in government securities only.

Account #

**Investment Discipline Selection(s)**
**1. Equity & Balanced Disciplines (continued)**
**(Minimum Investment \$100,000)**

	<i>Manager</i>	<i>Investment Discipline*</i>	<i>Code</i>	<i>Fee Schedule</i>
<input type="radio"/>	Eagle Asset Management, Inc.	Strategic Income Portfolio with Taxables (Model) †	eamsit	B
<input type="radio"/>	Eagle Asset Management, Inc.	Strategic Income Portfolio with Taxables (SMA) † (min \$250,000)	incbld	B
<input type="radio"/>	Eagle Asset Management, Inc.	Strategic Income Portfolio with Municipals (SMA) (min \$250,000)	msip	B
<input type="radio"/>	EARNEST Partners, LLC	All-cap Value Blend (Model) (min \$70,000)	earavb	B
<input type="radio"/>	EARNEST Partners, LLC	Mid-cap Blend (Model) (min \$70,000)	earmb	B
<input type="radio"/>	EARNEST Partners, LLC	Small- to Mid-cap Value Blend (Model) (min \$70,000)	earsmv	B
<input type="radio"/>	Edge Asset Management, Inc.	Small Mid Dividend Income (Model) (min \$70,000)	pglsmid	B
<input type="radio"/>	Epoch Investment Partners, Inc.	Global Equity Yield♦ (Model)	epogey	B
<input type="radio"/>	Equity Investment Corporation	All-cap Value (Model) (min \$70,000)	equav	B
<input type="radio"/>	Equity Investment Corporation	Large-cap Value (Model) (min \$70,000)	equlv	B
<input type="radio"/>	Federated Investment Counseling	Clover All-cap Value (SMA)	cav	A
<input type="radio"/>	Federated Investment Counseling	Clover All-cap Value Balanced (SMA) (min \$200,000)	cbav	A
<input type="radio"/>	Federated Investment Counseling	Strategic Value Dividend (Model) (min \$70,000)	ficsv	B
<input type="radio"/>	Federated Investment Counseling	International Strategic Value Dividend ADR^ (Model) (min \$70,000)	ficisv	B
<input type="radio"/>	Franklin Templeton Private Portfolio Group	Franklin Income > # (SMA) (min \$250,000)	frinc	B
<input type="radio"/>	Franklin Templeton Private Portfolio Group	Appreciation Balanced # (SMA) (60% Equities/40% Taxable Fixed Income)	ab40	B
<input type="radio"/>	Franklin Templeton Private Portfolio Group	Appreciation Balanced # (SMA) (70% Equities/30% Taxable Fixed Income)	ab30	B

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♦ Accounts may invest in Master Limited Partnership (“MLP”) units, which may result in unique tax treatment, including Schedule K-1

† Accounts that are individually valued less than \$250,000 at Account opening will be invested in exchange traded funds for the fixed income allocation of the Manager’s model portfolio. Accounts that are individually valued at \$250,000 or higher at Account opening will be invested in individual bonds for the fixed income allocation and Eagle rather than AMS will manage the Account on a discretionary basis. Please note that Account Value fluctuations above or below \$250,000 will not result in AMS or Eagle automatically transitioning portfolio investments between exchange traded funds and individual bonds; however, Clients may request their Account invest in exchange traded funds or individual bonds, as applicable. Clients should be aware of and carefully consider any tax implications when requesting such portfolio allocation changes.

# Manager frequently trades away from RJA. Additional information regarding the frequency and average costs related to trading away is available on Raymond James public website (see “Important Information Regarding Investment Manager Trading Practices” at [www.raymondjames.com/disclosure-trading-practices](http://www.raymondjames.com/disclosure-trading-practices), or a copy may be obtained from your financial advisor).

^ Clients organized as a registered investment company or other registered investment vehicle under the Investment Company Act of 1940 are not eligible to select the International Strategic Value Dividend ADR discipline. In addition, the International Strategic Value Dividend ADR discipline is only available for: (i) U.S. citizens living in the U.S. due to mutual fund registration limits, and (ii) Accounts where RJA serves as custodian or sub-custodian, as applicable, of Account assets. Upon termination of an Account, AMS will immediately redeem any fund shares of Federated’s Managed Asset Pool (“MAPs”), as these shares are only available in the International Strategic Value Dividend ADR discipline offered through the RJCS program (directly or through the Portfolio Select UMA Programs). All dividends from Federated’s MAP shares will be paid in cash, as dividend reinvestment is not available.

> Clients organized as a registered investment company or other registered investment vehicle under the Investment Company Act of 1940 are not eligible to select the Franklin Income discipline. In addition, the Franklin Income discipline is only available for: (i) U.S. citizens living in the U.S. due to mutual fund registration limits, and (ii) Accounts where RJA serves as custodian or sub-custodian, as applicable, of Account assets. Upon termination of an Account, AMS will immediately redeem any fund shares of Franklin Advisers’ Separately Managed Account Completion Shares or Separately Managed Account Shares (“No-Fee Funds”), as these shares are only available in the Franklin Income discipline offered through the RJCS program (directly or through the Portfolio Select UMA Programs). All dividends from Franklin’s No-Fee Funds will be paid in cash, as dividend reinvestment is not available.

Account #

**Investment Discipline Selection(s)**
**1. Equity & Balanced Disciplines (continued)**
**(Minimum Investment \$100,000)**

	<i>Manager</i>	<i>Investment Discipline*</i>	<i>Code</i>	<i>Fee Schedule</i>
<input type="radio"/>	Franklin Templeton Private Portfolio Group	Appreciation Balanced Tax-Favored # (SMA) (60% Equities/40% Tax Free Fixed Income) (min \$250,000)	tfab40	B
<input type="radio"/>	Franklin Templeton Private Portfolio Group	Appreciation Balanced Tax-Favored # (SMA) (70% Equities/30% Tax Free Fixed Income) (min \$350,000)	tfab30	B
<input type="radio"/>	Franklin Templeton Private Portfolio Group	Balanced Income with Muni # (SMA) (min \$350,000)	bim	B
<input type="radio"/>	Franklin Templeton Private Portfolio Group	Balanced Income Taxable # (SMA)	bit	B
<input type="radio"/>	Franklin Templeton Private Portfolio Group	Dividend Balanced Tax-Favored # (SMA) (60% Equities/40% Tax Free Income) (min \$250,000)	tfdb40	B
<input type="radio"/>	Franklin Templeton Private Portfolio Group	Dividend Strategy Balanced # (SMA) (70% Equities/30% Taxable Fixed Income)	db30	B
<input type="radio"/>	Franklin Templeton Private Portfolio Group	Dividend Strategy Balanced Tax-Favored # (SMA) (70% Equities/30% Tax Favored Income) (min \$350,000)	tfdb30	B
<input type="radio"/>	Fuller & Thaler Asset Management, Inc.	Behavioral Mid-cap Value (Model)	fthmcv	B
<input type="radio"/>	Fuller & Thaler Asset Management, Inc.	Behavioral Small-Mid Core (Model)	fthsmc	B
<input type="radio"/>	GQG Partners LLC	U.S. Equity (Model)	gqpuse	B
<input type="radio"/>	GW&K Investment Management, LLC	Small- to Mid-cap Growth Blend (Model) (min \$70,000)	gnksmc	B
<input type="radio"/>	Guardian Capital, LP	Fundamental Global Equity ADR (Model)	grdfge	B
<input type="radio"/>	Guardian Capital, LP	Global Dividend (Model)	grdgd	B
<input type="radio"/>	Hamlin Capital Management, LLC	Equity Income ♦ (Model) (min \$70,000)	hmlei	B
<input type="radio"/>	Invesco Advisers, Inc.	REITs (Model) (min \$70,000)	invrei	B
<input type="radio"/>	Janus Henderson Investors	Concentrated All-cap Growth (Model)	jcmacg	B
<input type="radio"/>	Janus Henderson Investors	Concentrated Growth Equity (Model) (min \$70,000)	jcmcge	B
<input type="radio"/>	Jennison Associates LLC	Large-cap Growth (Model)	jenlg	B
<input type="radio"/>	John Hancock Asset Management	Fundamental All-cap Core (Model)	jhmfac	B
<input type="radio"/>	John Hancock Asset Management	Fundamental Global Franchise ADR (Model) (min \$70,000)	jhmfgf	B
<input type="radio"/>	John Hancock Asset Management	Fundamental Large-cap Core (Model)	jhmflc	B
<input type="radio"/>	John Hancock Asset Management	U.S. Small-cap Core (Model) (min \$70,000)	jhmssc	B
<input type="radio"/>	JP Morgan Asset Management	Large-cap Growth (Model)	jmolcg	B
<input type="radio"/>	JP Morgan Asset Management	U.S. Equity (Model)	jmouse	B
<input type="radio"/>	Kayne Anderson Rudnick Investment Mgmt.	Mid-cap Core (Model) (min \$70,000)	karmcc	B
<input type="radio"/>	Kayne Anderson Rudnick Investment Mgmt.	Small-cap Quality Value (Model) (min \$70,000)	karsqv	B
<input type="radio"/>	Lazard Asset Management	Emerging Markets # (SMA)	emer	A
<input type="radio"/>	Lazard Asset Management	Global Equity Select ADR (Model) (min \$70,000)	lzdges	B
<input type="radio"/>	Lazard Asset Management	International Equity Select # (SMA)	iesadr	A
<input type="radio"/>	Lazard Asset Management	International Equity Select (with Emerging Markets ADR) (Model) (min \$70,000)	lzdies	B

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Account #

**Investment Discipline Selection(s)**
**1. Equity & Balanced Disciplines (continued)**
**(Minimum Investment \$100,000)**

	<i>Manager</i>	<i>Investment Discipline*</i>	<i>Code</i>	<i>Fee Schedule</i>
<input type="radio"/>	Loomis, Sayles & Company, LP	Global Growth ADR (Model)	lscggr	B
<input type="radio"/>	Loomis, Sayles & Company, LP	Large-cap Growth (Model) (min \$70,000)	lscldg	B
<input type="radio"/>	Loomis, Sayles & Company, LP	Small- to Mid-cap Value Blend (Model) (min \$70,000)	lscsmv	B
<input type="radio"/>	Lord, Abnett & Company, LLC	Large-cap Value (SMA)	lv	B
<input type="radio"/>	Lord, Abnett & Company, LLC	Large-cap Value Balanced (SMA)	lvb	B
<input type="radio"/>	Mondrian Investment Partners, Ltd.	International Equity ADR (Model)	monie	B
<input type="radio"/>	MFS Investment Management	Large-cap Value (Model) (min \$70,000)	mfslv	B
<input type="radio"/>	MFS Investment Management	Research International (Model) (min \$70,000)	mfsri	B
<input type="radio"/>	Neuberger Berman Investment Advisers LLC	Catholic Values Sustainable Equity (Model) (min \$70,000)	nbgcat	B
<input type="radio"/>	Neuberger Berman Investment Advisers LLC	International ADR (Model) (min \$70,000)	nbgint	B
<input type="radio"/>	Neuberger Berman Investment Advisers LLC	Sustainable Equity♦ (Model) (min \$70,000)	nbgstri	B
<input type="radio"/>	Nuance Investments, LLC	Mid-cap Value (Model)	nuamcv	B
<input type="radio"/>	Parnassus Investments	Core Equity (Model)	prnce	B
<input type="radio"/>	Parnassus Investments	Mid-cap Equity (Model) (min \$70,000)	prnmce	B
<input type="radio"/>	Polen Capital Management, LLC	Focus Growth (Model) (min \$70,000)	polfg	B
<input type="radio"/>	Polen Capital Management, LLC	Global Growth (Model) (min \$70,000)	polgg	B
<input type="radio"/>	Polen Capital Management, LLC	International Growth ADR (Model) (min \$70,000)	polig	B
<input type="radio"/>	Putnam Investment Management, LLC	Large-cap Value (Model) (min \$70,000)	putlv	B
<input type="radio"/>	Reinhart Partners, LLC	Mid-cap Private Market Value Equity (SMA) (min \$500,000)	mpmv	A
<input type="radio"/>	Riverfront Investment Group, LLC	Conservative Income Builder (Model) (30% Equities/70% Fixed Income) (min \$70,000)	rigcib	B
<input type="radio"/>	Riverfront Investment Group, LLC	ETF Conservative Income Builder (Model) (30% Equities/70% Fixed Income) (min \$50,000)	rigeci	B
<input type="radio"/>	Riverfront Investment Group, LLC	Moderate Growth & Income (Model) (50% Equities/50% Fixed Income) (min \$70,000)	rigmgi	B
<input type="radio"/>	Riverfront Investment Group, LLC	ETF Moderate Growth & Income (Model) (50% Equities/50% Fixed Income) (min \$50,000)	rigemg	B
<input type="radio"/>	Riverfront Investment Group, LLC	Dynamic Equity Income (70% Equities/30% Fixed Income) (Model) (min \$70,000)	rigdeq	B
<input type="radio"/>	Riverfront Investment Group, LLC	ETF Dynamic Equity Income (Model) (70% Equities/30% Fixed Income) (min \$50,000)	rigede	B
<input type="radio"/>	Riverfront Investment Group, LLC	Global Allocation (80% Equities/20% Fixed Income) (Model) (min \$70,000)	riggla	B
<input type="radio"/>	Riverfront Investment Group, LLC	ETF Global Allocation (Model) (80% Equities/20% Fixed Income) (min \$50,000)	rigega	B
<input type="radio"/>	Riverfront Investment Group, LLC	Global Growth (100% Equities) (Model) (min \$70,000)	riggg	B
<input type="radio"/>	Riverfront Investment Group, LLC	ETF Global Growth (100% Equities) (Model) (min \$50,000)	rigegg	B
<input type="radio"/>	River Road Asset Management	Dividend All-cap Value♦ (Model)	rivdav	B
<input type="radio"/>	River Road Asset Management	Focused Absolute Value♦ (Model) (min \$70,000)	rivfav	B
<input type="radio"/>	River Road Asset Management	Small- to Mid-cap Value (Model)	rivsmv	B

\* AMS holds discretionary authority on Model disciplines and the Manager holds discretionary authority on SMA disciplines.

♦ Accounts may invest in Master Limited Partnership ("MLP") units, which may result in unique tax treatment, including Schedule K-1.

Account #

**Investment Discipline Selection(s)**
**1. Equity & Balanced Disciplines (continued)**
**(Minimum Investment \$100,000)**

	<i>Manager</i>	<i>Investment Discipline*</i>	<i>Code</i>	<i>Fee Schedule</i>
<input type="radio"/>	Royce & Associates, LP	Small-cap Total Return (Model)	roysc	B
<input type="radio"/>	Sage Advisory Services Ltd. Co.	ETF Moderate (40% Equities/60% Fixed Income) (Model) (min \$25,000)	smo	B
<input type="radio"/>	Sage Advisory Services Ltd. Co.	ETF Growth (80% Equities/20% Fixed Income) (Model) (min \$25,000)	sgro	B
<input type="radio"/>	Sage Advisory Services Ltd. Co.	ETF All-cap Equity Plus (100% Equities) (Model) (min \$25,000)	saep	B
<input type="radio"/>	Sage Advisory Services Ltd. Co.	ETF Moderate Growth (60% Equities/40% Fixed Income) (Model) (min \$25,000)	smog	B
<input type="radio"/>	Schafer-Cullen Capital Management	Enhanced Equity Income > (SMA) (min \$250,000)	enhei	B
<input type="radio"/>	Schafer-Cullen Capital Management	High Dividend Value (Model) (min \$70,000)	shfhdv	B
<input type="radio"/>	Schafer-Cullen Capital Management	International High Dividend ADR Value (Model) (min \$70,000)	shfidv	B
<input type="radio"/>	Shelton Capital Management	Equity Income > (SMA) (min \$250,000)	eqinc	B
<input type="radio"/>	Spyglass Capital Management, LLC	Mid Cap Growth (Model) (min \$70,000)	sglgr	B
<input type="radio"/>	Sterling Capital Management, LLC	Equity Income (Model) (min \$70,000)	steei	B
<input type="radio"/>	Sterling Capital Management, LLC	Global Leaders (Model) (min \$70,000)	stegl	B
<input type="radio"/>	Sterling Capital Management, LLC	Small- to Mid-cap Opportunities (Model)	stesmv	B
<input type="radio"/>	Sterling Capital Management, LLC	Special Opportunities (Model) (min \$70,000)	steso	B
<input type="radio"/>	Stringer Asset Management, LLC	Conservative Growth (Model) (50% Equities/50% Fixed Income) (min \$25,000)	stgcg	B
<input type="radio"/>	Stringer Asset Management, LLC	Moderate Growth (Model) (65% Equities/35% Fixed Income) (min \$25,000)	stgmg	B
<input type="radio"/>	Stringer Asset Management, LLC	Growth (100% Equities) (Model) (min \$25,000)	stgg	B
<input type="radio"/>	TCW Investment Management Co. LLC	Concentrated Core Growth (Model) (min \$70,000)	tcilcg	B
<input type="radio"/>	TCW Investment Management Co. LLC	Large-cap Value (Model) (min \$70,000)	tcilcv	B
<input type="radio"/>	TCW Investment Management Co. LLC	Large-cap Value Balanced (SMA)	lvb	B
<input type="radio"/>	The London Company of Virginia, LLC	Income Equity (Model) (min \$70,000)	ldnie	B
<input type="radio"/>	T. Rowe Price Associates, Inc.	International Core (Model)	trpic	B
<input type="radio"/>	T. Rowe Price Associates, Inc.	U.S. Blue Chip Growth (Model)	trpubc	B
<input type="radio"/>	T. Rowe Price Associates, Inc.	U.S. Large-cap Core (Model)	trpulc	B
<input type="radio"/>	T. Rowe Price Associates, Inc.	U.S. Value Equity (Model)	trpuve	B
<input type="radio"/>	Wasatch Global Investors	Small-cap Value (Model) (min \$70,000)	wsasv	B
<input type="radio"/>	WestEnd Advisors, LLC	Global Balanced ETF (Model) (min \$25,000)	weagbe	B
<input type="radio"/>	WestEnd Advisors, LLC	Global Conservative ETF (Model) (min \$25,000)	weagc	B
<input type="radio"/>	WestEnd Advisors, LLC	Global Equity ETF (Model) (min \$25,000)	weagee	B
<input type="radio"/>	WestEnd Advisors, LLC	U.S. Sector ETF (Model) (min \$25,000)	weause	B
<input type="radio"/>	Westwood Management Corp.	Large-cap Value (Model)	wwdlv	B
<input type="radio"/>	William Blair Investment Management, LLC	Large-cap Growth Equity (Model)	wmblg	B
<input type="radio"/>	Other:			

\* AMS holds discretionary authority on Model disciplines and the Manager holds discretionary authority on SMA disciplines.

> Client must provide an Option Agreement and Suitability Form (Form No. 1112 – [RJ/RJFS](#)) and approval for covered call writing must be granted before the Manager will begin managing Client's Account.

Account #

**Investment Discipline Selection(s)**

**2. Custom and Tax Managed Equity Disciplines**

**(Minimum Investment \$1,000,000)**

	<i>Manager</i>	<i>Investment Discipline*</i>	<i>Code</i>	<i>Fee Schedule</i>
<input type="radio"/>	Active Index Advisors, a division of Natixis Advisors, L.P.	Custom Core S&P 1500 $\alpha$ (SMA) (min \$100,000)	ccs15	B
<input type="radio"/>	Active Index Advisors, a division of Natixis Advisors, L.P.	Custom Core S&P 400 $\alpha$ (SMA) (min \$100,000)	ccs4	B
<input type="radio"/>	Active Index Advisors, a division of Natixis Advisors, L.P.	Custom Core S&P 500 $\alpha$ (SMA) (min \$100,000)	ccs5	B
<input type="radio"/>	Active Index Advisors, a division of Natixis Advisors, L.P.	Custom Core S&P 600 $\alpha$ (SMA) (min \$100,000)	ccs6	B
<input type="radio"/>	Active Index Advisors, a division of Natixis Advisors, L.P.	Custom Core ADR/International $\alpha$ (SMA) (min \$100,000)	ccsadr	B
<input type="radio"/>	Active Index Advisors, a division of Natixis Advisors, L.P.	Custom Core S&P Global 1500 $\alpha$ (SMA) (min \$100,000)	ccsg15	B
<input type="radio"/>	Active Index Advisors, a division of Natixis Advisors, L.P.	Custom Core S&P Global 500 $\alpha$ (SMA) (min \$100,000)	ccsg15	B
<input type="radio"/>	Active Index Advisors, a division of Natixis Advisors, L.P.	Tax Managed Core Balanced 90/10 $\alpha$ (SMA) (min \$1,00,000)	ccb10	B
<input type="radio"/>	Active Index Advisors, a division of Natixis Advisors, L.P.	Tax Managed Core Balanced 80/20 $\alpha$ (SMA) (min \$500,000)	ccb20	B
<input type="radio"/>	Active Index Advisors, a division of Natixis Advisors, L.P.	Tax Managed Core Balanced 70/30 $\alpha$ (SMA) (min \$350,000)	ccb30	B
<input type="radio"/>	Active Index Advisors, a division of Natixis Advisors, L.P.	Tax Managed Core Balanced 60/40 $\alpha$ (SMA) (min \$250,000)	ccb40	B
<input type="radio"/>	Active Index Advisors, a division of Natixis Advisors, L.P.	Tax Managed Core Balanced 50/50 $\alpha$ (SMA) (min \$1,000,000)	ccb50	B
<input type="radio"/>	Active Index Advisors, a division of Natixis Advisors, L.P.	Custom Core Large Cap Growth (S&P 500 Growth) $\alpha$ (SMA) (min \$100,000)	cclg5	B
<input type="radio"/>	Active Index Advisors, a division of Natixis Advisors, L.P.	Custom Core Large Cap Value (S&P 500 Value) $\alpha$ (SMA) (min \$100,000)	cclv5	B
<input type="radio"/>	Capital Wealth Planning, LLC	Custom Enhanced Dividend Income $\mathcal{E}$ (SMA)	cend	B
<input type="radio"/>	ClearBridge Investments, LLC	Custom Portfolio Solutions* # (SMA)† (min \$5,000,000)	ccps	B

- \* AMS holds discretionary authority on Model disciplines and the Manager holds discretionary authority on SMA disciplines.
- ♦ Accounts may invest in Master Limited Partnership (“MLP”) units, which may result in unique tax treatment, including Schedule K-1 reporting. Please consult your tax adviser for additional information regarding the tax consequences associated with MLP investments.
- # Manager frequently trades away from RJA. Additional information regarding the frequency and average costs related to trading away is available on Raymond James public website (see “Important Information Regarding Investment Manager Trading Practices” at [www.raymondjames.com/disclosure-trading-practices](http://www.raymondjames.com/disclosure-trading-practices), or a copy may be obtained from your financial advisor).
- $\alpha$  [Active Index Advisor’s Account Opening Form](#) (the “AIA Form”) is required on all new accounts and must accompany this Client Agreement. The workout period, annual gain target, and any other additional instructions must be specified. In addition, cost basis must be provided for any securities funding this Account and the cost basis accounting election must be set to Highest Cost; Active Index Advisor will not take action on an Account until all cost basis has been provided. **Available to non-qualified taxable accounts only.**
- $\mathcal{E}$  [Capital Wealth Planning’s Custom Transition Strategy Form](#) (the “CWP Form”) must accompany this Client Agreement, identifying the discipline, workout period, annual gain target, and any other additional instructions. The CWP Form must be submitted to and pre-approved by Capital Wealth Planning **before** AMS will process this Agreement and Capital Wealth Planning will initiate management. In addition, cost basis must be provided for any securities funding this Account; Capital Wealth Planning will not take action on an Account until all cost basis has been provided. Once the funding position(s) is/are fully liquidated, the portfolio will automatically transition to the corresponding RJCS discipline. **Available to non-qualified taxable accounts only.**
- † [ClearBridge’s Custom Portfolio Solutions Form](#) (the “CPS Form”) must accompany this Client Agreement, identifying the investment management portfolio allocations, annual gain target, and any other additional instructions. ClearBridge Investments must approve each Custom Portfolio request before investment management will commence; your Advisor will be informed promptly in the event of non-acceptance. In addition, cost basis must be provided for any securities funding this Account; ClearBridge will not take action on an Account until all cost basis has been provided.

Account #

**Investment Discipline Selection(s)**
**2. Custom and Tax Managed Equity Disciplines (continued)**
**(Minimum Investment \$1,000,000)**

	<i>Manager</i>	<i>Investment Discipline*</i>	<i>Code</i>	<i>Fee Schedule</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Custom Enhanced Income</i> ➤ (SMA) (min \$1,000,000)	ceccs	B
<input type="radio"/>	Goldman Sachs Asset Management, L.P.	Tax Advantaged Global ADR (SMA)¥ Benchmark: MSCI ACWI (min \$250,000)	tacwi	B
<input type="radio"/>	Goldman Sachs Asset Management, L.P.	Tax Advantaged International Developed ADR (SMA)¥ Benchmark: MSCI EAFE (min \$250,000)	teafe	B
<input type="radio"/>	Goldman Sachs Asset Management, L.P.	Tax Advantaged U.S. Large Mid Cap (SMA)¥ Benchmark: Russell 1000 (min \$250,000)	tr1000	B
<input type="radio"/>	Goldman Sachs Asset Management, L.P.	Tax Advantaged U.S. All Cap # (SMA)¥ Benchmark: Russell 3000 (min \$250,000)	tr3	B
<input type="radio"/>	Goldman Sachs Asset Management, L.P.	Tax Advantaged U.S. Large Mid Cap Growth (SMA)¥ Benchmark: Russell 1000 Growth (min \$250,000)	trg10	B
<input type="radio"/>	Goldman Sachs Asset Management, L.P.	Tax Advantaged U.S. Large Mid Cap Value(SMA)¥ Benchmark: Russell 1000 Value (min \$250,000)	trv10	B
<input type="radio"/>	Goldman Sachs Asset Management, L.P.	Tax Advantaged U.S. Large Cap(SMA)¥ Benchmark: S&P 500 (min \$250,000)	ts5	B
<input type="radio"/>	Goldman Sachs Asset Management, L.P.	Tax Advantaged U.S. Large Cap Env & Soc Respon(SMA)¥ Benchmark: S&P 500 Env & Soc Resp (min \$250,000)	tsesg5	B
<input type="radio"/>	Goldman Sachs Asset Management, L.P.	Tax Advantaged Global Developed ADR (SMA)¥ Benchmark: MSCI World (min \$250,000)	twrld	B
<input type="radio"/>	Goldman Sachs Asset Management, L.P.	Tax Advantaged Enhanced Dividend (SMA)¥ Benchmark: S&P500 (min \$250,000)	taed	B
<input type="radio"/>	Goldman Sachs Asset Management, L.P.	Tax Advantaged U.S. Small Cap (SMA)¥ Benchmark: Russell 2000 (min \$250,000)	tlhr	B

Italicized Managers are affiliated with Raymond James.

\* AMS holds discretionary authority on Model disciplines and the Manager holds discretionary authority on SMA disciplines.

◆ Accounts may invest in Master Limited Partnership (“MLP”) units, which may result in unique tax treatment, including Schedule K-1 reporting. Please consult your tax adviser for additional information regarding the tax consequences associated with MLP investments.

# Manager frequently trades away from RJA. Additional information regarding the frequency and average costs related to trading away is available on Raymond James public website (see “Important Information Regarding Investment Manager Trading Practices” at [www.raymondjames.com/disclosure-trading-practices](http://www.raymondjames.com/disclosure-trading-practices), or a copy may be obtained from your financial advisor).

➤ Client must provide an Option Agreement and Suitability Form (Form No. 1112 – [RJA/RJFS](#)) and approval for covered call writing must be granted before the Manager will begin managing Client’s Account. [Eagle’s Custom Enhanced Income Account Opening Form \(the “EAM Form”\)](#) is required on all new accounts and must accompany this Client Agreement. The workout period, annual gain target, and any other additional instructions must be specified. In addition, cost basis must be provided for any securities funding this Account and the cost basis accounting election must be set to Highest Cost; Eagle will not take action on an Account until all cost basis has been provided. **Available to non-qualified taxable accounts only.**

¥ [Goldman Sachs’ Account Opening Form \(the “GSAM Form”\)](#) is required to be signed by the Client on all new accounts and must accompany this Client Agreement. Cost basis must be provided for any securities funding this Account and the cost basis accounting election must be set to Highest Cost; Goldman will not take action on an Account until all cost basis has been provided. **Available to non-qualified taxable accounts only.**

Account #

**Investment Discipline Selection(s)**

**2. Custom and Tax Managed Equity Disciplines (continued)**

**(Minimum Investment \$1,000,000)**

	<i>Manager</i>	<i>Investment Discipline*</i>		<i>Code</i>	<i>Fee Schedule</i>
<input type="radio"/>	JP Morgan Asset Management	Tax-Smart U.S. All Cap Index <sup>◊</sup> (SMA)	(min \$250,000)	tsusac	B
<input type="radio"/>	JP Morgan Asset Management	Tax-Smart U.S. Carbon Transition Index <sup>◊</sup> (SMA)	(min \$250,000)	tsusct	B
<input type="radio"/>	JP Morgan Asset Management	Tax-Smart U.S. Focused Large Cap Index <sup>◊</sup> (SMA)	(min \$100,000)	tsusfl	B
<input type="radio"/>	JP Morgan Asset Management	Tax-Smart U.S. Large Cap Index <sup>◊</sup> (SMA)	(min \$250,000)	tsuslc	B
<input type="radio"/>	JP Morgan Asset Management	Tax-Smart U.S. Large-Mid Cap Index <sup>◊</sup> (SMA)	(min \$250,000)	tsuslm	B
<input type="radio"/>	JP Morgan Asset Management	Tax-Smart International Developed ADR Index <sup>◊</sup> (SMA)	(min \$250,000)	tsiadr	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core MSCI ACWI ESG Leaders <sup>^</sup> (SMA)	(min \$250,000)	atmc	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core MSCI EAFE (ADR) <sup>^</sup> (SMA)	(min \$250,000)	cceafe	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core MSCI EAFE Value (ADR) <sup>^</sup> (SMA)	(min \$250,000)	cceafv	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core Nasdaq 100 <sup>^</sup> (SMA)	(min \$250,000)	ccns10	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core Russell 1000 <sup>^</sup> (SMA)	(min \$250,000)	ccr10	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core Russell 3000 <sup>^</sup> (SMA)	(min \$250,000)	ccr3	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core Russell 1000 Growth <sup>^</sup> (SMA)	(min \$250,000)	crg10	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core Russell 3000 Growth <sup>^</sup> (SMA)	(min \$250,000)	crg3	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core Russell Mid Cap <sup>^</sup> (SMA)	(min \$250,000)	ccrm	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core Russell 1000 Value <sup>^</sup> (SMA)	(min \$250,000)	ccrv10	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core Russell 3000 Value <sup>^</sup> (SMA)	(min \$250,000)	ccrv3	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core S&P 400 <sup>^</sup> (SMA)	(min \$250,000)	ccs4	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core S&P 500 <sup>^</sup> (SMA)	(min \$250,000)	ccs5	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core S&P 600 <sup>^</sup> (SMA)	(min \$250,000)	ccs6	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core S&P 500 Growth <sup>^</sup> (SMA)	(min \$250,000)	ccsgr5	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core S&P 500 Equal Weight <sup>^</sup> (SMA)	(min \$400,000)	ccsew5	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core S&P 500 Value <sup>^</sup> (SMA)	(min \$250,000)	ccsv5	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core MSCI KLD 400 Social <sup>^</sup> (SMA)	(min \$250,000)	ktmc	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core Equity <sup>^</sup> (SMA)	(min \$250,000)	tmc	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core Calvert International <sup>^</sup> (SMA)	(min \$250,000)	ccci	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core Calvert LCC <sup>^</sup> (SMA)	(min \$250,000)	ccclc	B
<input type="radio"/>	Parametric Portfolio Associates, LLC	Custom Core Calvert LCV <sup>^</sup> (SMA)	(min \$250,000)	ccclv	B

\* AMS holds discretionary authority on Model disciplines and the Manager holds discretionary authority on SMA disciplines.

<sup>^</sup> Client must submit [Parametric's Account Opening Form](#), identifying the appropriate benchmark, ESG screens, funding amount, net realizable gains/losses, desired tracking error target and other additional information relevant to the tax management strategy being employed (if applicable). Cost basis must be provided for any equity securities and exchange traded funds funding this Account and the cost basis accounting election must be set to Highest Cost; Parametric will not take action on an Account until all cost basis has been provided. Equity securities and exchange traded funds used to fund the account will be accepted in kind and reviewed by Parametric. Fixed Income securities or mutual funds used to fund the Account will be automatically sold by Raymond James; Parametric will not accept such securities in its tax managed disciplines. Gains or losses from such sales will not be included as part of Parametric's tax management strategy. **The tax management strategy is available to non-qualified taxable accounts only.** Parametric anticipates buying and selling Morgan Stanley common stock ("MS Stock"), the parent company of Parametric, in accordance with the third-party index selected for the account, as noted in the Account Opening Form and subject to change, at a weighting generally equal to the weighting of MS Stock in the selected index. The client may elect to restrict such purchases but should understand that implementation of such a restriction may result in material performance dispersion between their account and the selected index.

<sup>◊</sup> [JP Morgan's Account Opening Form](#) is required on all new accounts and must accompany this Client Agreement. The appropriate benchmark, restrictions and account attributes must be specified. Cost basis must be provided for any securities funding this Account and the cost basis accounting election must be set to Highest Cost; JP Morgan will not take action on an Account until all cost basis has been provided. **Available to non-qualified taxable accounts only.**

Account #

**Investment Discipline Selection(s)**

**2. Custom and Tax Managed Equity Disciplines (continued)**

**(Minimum Investment \$1,000,000)**

	<i>Manager</i>	<i>Investment Discipline*</i>		<i>Code</i>	<i>Fee Schedule</i>
<input type="radio"/>	RiverFront Investment Group, LLC	Custom Equity ➤ (SMA)	(min \$1,000,000)	cuseq	B
<input type="radio"/>	RiverFront Investment Group, LLC	Custom Fixed Income ➤ (SMA)	(min \$1,000,000)	cusfi	B
<input type="radio"/>	RiverFront Investment Group, LLC	Custom Multi-Asset ➤ # (SMA)	(min \$1,000,000)	cusma	B
<input type="radio"/>	RiverFront Investment Group, LLC	Custom Options ➤ (SMA)	(min \$1,000,000)	cusop	B
<input type="radio"/>	Tandem Investment Advisors, Inc.	Large-cap Core Ω # (SMA)	(min \$100,000)	lc	B
<input type="radio"/>	Tandem Investment Advisors, Inc.	Equity Ω (SMA)	(min \$100,000)	eqw	B
<input type="radio"/>	Other:				

\* AMS holds discretionary authority on Model disciplines and the Manager holds discretionary authority on SMA disciplines.

# Manager frequently trades away from RJA. Additional information regarding the frequency and average costs related to trading away is available on Raymond James public website (see "Important Information Regarding Investment Manager Trading Practices" at [www.raymondjames.com/disclosure-trading-practices](http://www.raymondjames.com/disclosure-trading-practices), or a copy may be obtained from your financial advisor).

➤ RiverFront's Investment Management Guidelines must accompany this Client Agreement, identifying the workout period, net realizable gains/losses and any other management instructions accepted by RiverFront. Cost basis must be provided for any securities funding this Account and the cost basis accounting election must be set to Highest Cost; RiverFront will not take action on an Account until all cost basis has been provided. In addition, Client must provide an Option Agreement and Suitability Form (Form No. 1112 – [RJA/RJFS](#)) and approval for covered call writing must be granted when selecting the Custom Options discipline before RiverFront will begin managing Client's Account. **These disciplines are available to non-qualified taxable accounts only.**

Ω [Tandem Investment Advisor's Custom Account Opening Form](#) (the "TDM Form") must accompany this Client Agreement for all taxable accounts funding with securities. The workout period, annual gain target, and any other additional instructions must be specified. In addition, cost basis must be provided for any securities funding this Account; Tandem Investment advisors will not take action on an Account until all cost basis has been provided.

**3. Managed Income Solution Fixed Income Disciplines**

**(Minimum Investment \$200,000)**

	<i>Manager</i>	<i>Investment Discipline*</i>		<i>Code</i>	<i>Fee Schedule</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Managed Income Solutions Municipal (SMA)</i>		<i>mmis</i>	<i>C</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Managed Income Solutions Taxable ➤ (SMA)</i>		<i>tmis</i>	<i>C</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Taxable Managed Income Solutions ETF (Model)</i>	<i>(min \$70,000)</i>	<i>eamtmi</i>	<i>C</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Tax-Free Managed Income Solutions ETF (Model)</i>	<i>(min \$70,000)</i>	<i>eamtffi</i>	<i>C</i>
	State of Residence	Tax Bracket		Is Client subject to Alternative Minimum Tax? <input type="radio"/> Yes <input type="radio"/> No	

Italicized Managers are affiliated with Raymond James.

\* AMS holds discretionary authority on Model disciplines and the Manager holds discretionary authority on SMA disciplines.

➤ Eagle may invest up to 25% of portfolio in high yield corporate bonds or convertible securities. Percent allocated is at the discretion of Eagle. Due to the nature of the Taxable discipline, high yield and convertible securities investment restrictions cannot be accommodated by Eagle.

Account #

**Investment Discipline Selection(s)**

**4. Fixed Income Disciplines - Laddered**

**(Minimum Investment \$200,000)**

	<i>Manager</i>	<i>Investment Discipline*</i>	<i>Code</i>	<i>Fee Schedule</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Corporate Bond Ladder (1 to 5 Year) (SMA)</i>	<i>cbl5</i>	<i>D</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Corporate Bond Ladder (1 to 10 Year) (SMA)</i>	<i>cbl10</i>	<i>D</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Municipal Ladder Strategy (1 to 10 Year) (SMA) (min \$250,000)</i>	<i>mls10</i>	<i>D</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Municipal Ladder Strategy (5 to 15 Year) (SMA) (min \$250,000)</i>	<i>mls15</i>	<i>D</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Municipal Ladder Strategy (10 to 20 Year) (SMA) (min \$250,000)</i>	<i>mls20</i>	<i>D</i>
<input type="radio"/>	<i>Madison Investment Advisors, LLC</i>	<i>Reinhart Corporate Bond Ladder (1 to 5 Year) (SMA)</i>	<i>rclb5</i>	<i>D</i>
<input type="radio"/>	<i>Madison Investment Advisors, LLC</i>	<i>Reinhart Corporate Bond Ladder (1 to 10 Year) (SMA)</i>	<i>rclb10</i>	<i>D</i>
<input type="radio"/>	<i>Nuveen Asset Management, LLC</i>	<i>Custom Ladder Municipal Bond* (SMA) (min \$250,000)</i>	<i>clmb</i>	<i>D</i>
<input type="radio"/>	<i>Nuveen Asset Management, LLC</i>	<i>Municipal Ladder (1 to 10 Year)* (SMA) (min \$250,000)</i>	<i>ml10</i>	<i>D</i>
<input type="radio"/>	<i>Nuveen Asset Management, LLC</i>	<i>Municipal Ladder (5 to 15 Year)* (SMA) (min \$250,000)</i>	<i>ml15</i>	<i>D</i>
<input type="radio"/>	<i>Nuveen Asset Management, LLC</i>	<i>U.S. Corporate Bond Ladder ESG (1 to 5 Year) (SMA)</i>	<i>ecb5</i>	<i>D</i>
<input type="radio"/>	<i>Nuveen Asset Management, LLC</i>	<i>U.S. Corporate Bond Ladder ESG (1 to 10 Year) (SMA)</i>	<i>ecb10</i>	<i>D</i>
<input type="radio"/>	<i>Parametric Portfolio Associates, LLC</i>	<i>Custom US Corporate Ladder (SMA)</i>	<i>uscl</i>	<i>D</i>
<input type="radio"/>	<i>Parametric Portfolio Associates, LLC</i>	<i>TABS Custom Laddered Municipals (SMA) (min \$250,000)</i>	<i>tlmc</i>	<i>D</i>
<input type="radio"/>	<i>Parametric Portfolio Associates, LLC</i>	<i>TABS Laddered Municipals (1 to 10 Year) (SMA) (min \$250,000)</i>	<i>tlm10</i>	<i>D</i>
<input type="radio"/>	<i>Parametric Portfolio Associates, LLC</i>	<i>TABS Laddered Municipals (5 to 15 Year) (SMA) (min \$250,000)</i>	<i>tlm15</i>	<i>D</i>
<input type="radio"/>	<i>Parametric Portfolio Associates, LLC</i>	<i>U.S. Corporate Ladder (1 to 5 Year) (SMA)</i>	<i>uscl5</i>	<i>D</i>
<input type="radio"/>	<i>Parametric Portfolio Associates, LLC</i>	<i>U.S. Corporate Ladder (1 to 10 Year) (SMA)</i>	<i>uscl10</i>	<i>D</i>
<input type="radio"/>	<i>PIMCO (Pacific Investment Mgmt. Co. LLC)</i>	<i>Corporate Bond Ladder (1 to 11 Year) (SMA) (min \$250,000)</i>	<i>cbl11</i>	<i>D</i>
<input type="radio"/>	<i>PIMCO (Pacific Investment Mgmt. Co. LLC)</i>	<i>Custom Targeted Municipal Bond Ladder (SMA) (min \$500,000)</i>	<i>tmbc</i>	<i>D</i>
<input type="radio"/>	<i>PIMCO (Pacific Investment Mgmt. Co. LLC)</i>	<i>Municipal Bond Ladder (2 to 7 Year) (SMA) (min \$250,000)</i>	<i>tmb17</i>	<i>D</i>
<input type="radio"/>	<i>PIMCO (Pacific Investment Mgmt. Co. LLC)</i>	<i>Municipal Bond Ladder (3 to 11 Year) (SMA) (min \$250,000)</i>	<i>tmb111</i>	<i>D</i>
<input type="radio"/>	<i>Western Asset Management Co.</i>	<i>Custom Municipal Bond Ladder (SMA) (min \$250,000)</i>	<i>cmb1</i>	<i>D</i>
<input type="radio"/>	<i>Western Asset Management Co.</i>	<i>Corporate Bond Ladder (1 to 5 Year) (SMA)</i>	<i>cbl5</i>	<i>D</i>
<input type="radio"/>	<i>Western Asset Management Co.</i>	<i>Corporate Bond Ladder (1 to 10 Year) (SMA)</i>	<i>cbl10</i>	<i>D</i>
<input type="radio"/>	<i>Western Asset Management Co.</i>	<i>Municipal Bond Ladder (1 to 15 Year) (SMA) (min \$250,000)</i>	<i>mb15</i>	<i>D</i>
<input type="radio"/>	<i>Western Asset Management Co.</i>	<i>Municipal Bond Ladder (1 to 30 Year) (SMA) (min \$250,000)</i>	<i>mb130</i>	<i>D</i>
<input type="radio"/>	<i>Other:</i>			

*For Municipal Ladder Disciplines please provide:*

State of Residence	Tax Bracket	Is Client subject to Alternative Minimum Tax? <input type="radio"/> Yes <input type="radio"/> No
<input type="radio"/> State Specific <sup>&gt;</sup> :	<input type="radio"/> State Preference:	<input type="radio"/> National

Italicized Managers are affiliated with Raymond James.

- If not clearly specified, the default will be state of residence.
  - Note:** State specific and state preferred options are offered at the discretion of the money manager. For a complete listing of available options, refer to [Fact Sheet](#). Accounts will be considered "National" portfolios unless otherwise specified.

\* AMS holds discretionary authority on Model disciplines and the Manager holds discretionary authority on SMA disciplines.

◆ Nuveen will not purchase municipal bonds subject to Alternative Minimum Tax treatment.

Account #

**Investment Discipline Selection(s)**
**5. Fixed Income Disciplines - Municipal**
**(Minimum Investment \$200,000)**

	<i>Manager</i>	<i>Investment Discipline*</i>		<i>Code</i>	<i>Fee Schedule</i>
<input type="radio"/>	AllianceBernstein, L.P.	Municipal Income Portfolio <sup>∞</sup> (SMA)	(min \$250,000)	munip	C
<input type="radio"/>	Asset Preservation Advisors, Inc.	High Quality Intermediate Municipal (SMA)	(min \$250,000)	hqimu	C
<input type="radio"/>	Breckinridge Capital Advisors, Inc.	Intermediate Tax Efficient Bond (SMA)	(min \$250,000)	temufi	C
<input type="radio"/>	Breckinridge Capital Advisors, Inc.	Intermediate Tax Exempt (SMA)	(min \$250,000)	mufi	C
<input type="radio"/>	Capital Group	Intermediate Municipal (SMA)	(min \$250,000)	imun	C
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>High Quality Tax-Free (SMA)</i>		<i>hqtaxf</i>	<i>C</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Municipal Environmental, Social &amp; Governance (SMA)</i>		<i>esgmun</i>	<i>C</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Tax Aware Fixed Income with High Yields † (SMA)</i>		<i>spefxd</i>	<i>C</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Tax Aware Fixed Income without High Yields (SMA)</i>		<i>sfxdno</i>	<i>C</i>
<input type="radio"/>	GW&K Investment Management, LLC	High Quality Municipal (SMA)	(min \$250,000)	muni	C
<input type="radio"/>	JP Morgan Asset Management	High Quality Intermediate Municipal (SMA)	(min \$250,000)	hqimu	C
<input type="radio"/>	Madison Investment Advisors	Reinhart Intermediate Municipal Fixed Income (SMA)		rimfi	C
<input type="radio"/>	Lord, Abnett & Company, LLC	High Quality Barbell Municipal (SMA)	(min \$250,000)	muni	C
<input type="radio"/>	Lord, Abnett & Company, LLC	High Quality Intermediate Municipal (SMA)	(min \$250,000)	hqimn	C
<input type="radio"/>	Neuberger Berman, LLC	Tax-Exempt Intermediate Maturity (SMA)		teim	C
<input type="radio"/>	Neuberger Berman, LLC	Tax-Exempt Limited Maturity (SMA)	(min \$250,000)	telm	C
<input type="radio"/>	Nuveen Asset Management, LLC	High Quality Municipal (SMA)	(min \$250,000)	muni	C
<input type="radio"/>	Nuveen Asset Management, LLC	Intermediate ESG Municipal (SMA)	(min \$250,000)	iesgm	C
<input type="radio"/>	Nuveen Asset Management, LLC	Limited Maturity Municipal (SMA)		lmm	C
<input type="radio"/>	Nuveen Asset Management, LLC	Long Term Municipal (SMA)		ltmu	C

*For Municipal, High Quality Tax-Free and Tax Aware Fixed Income Disciplines please provide:*

State of Residence	Tax Bracket	Is Client subject to Alternative Minimum Tax? <input type="radio"/> Yes <input type="radio"/> No
<input type="radio"/> State Specific <sup>➤</sup> :	<input type="radio"/> State Preference:	<input type="radio"/> National

Italicized Managers are affiliated with Raymond James.

➤ If not clearly specified, the default will be state of residence.

**Note:** State specific and state preferred options are offered at the discretion of the money manager. For a complete listing of available options, refer to [Fact Sheet](#). Accounts will be considered “National” portfolios unless otherwise specified.

\* AMS holds discretionary authority on Model disciplines and the Manager holds discretionary authority on SMA disciplines.

∞ Clients organized as a registered investment company or other registered investment vehicle under the Investment Company Act of 1940 are not eligible to select an Enhanced Fixed Income discipline. In addition, the Municipal Income Portfolio discipline is only available for: (i) U.S. citizens living in the U.S. due to mutual fund registration limits, and (ii) Accounts where RJA serves as custodian or sub-custodian, as applicable, of Account assets. Upon termination of an Account, AMS will immediately redeem any fund shares of AllianceBernstein’s Managed Account Completion Shares (“MACS”), as these shares are only available in the Municipal Income Portfolio discipline offered through the RJCS program (directly or through the Portfolio Select UMA Program). All dividends from AllianceBernstein’s MACS will be paid in cash, as dividend reinvestment is not available.

† Eagle may invest up to 25% of portfolio in high yield corporate bonds or convertible securities. Percent allocated is at the discretion of Eagle.

Account #

**Investment Discipline Selection(s)**

**5. Fixed Income Disciplines - Municipal (continued)**

**(Minimum Investment \$200,000)**

	<i>Manager</i>	<i>Investment Discipline*</i>		<i>Code</i>	<i>Fee Schedule</i>
<input type="radio"/>	Parametric Portfolio Associates, LLC	TABS Custom Ultra Short (SMA)	(min \$10,000,000)	tcus	C
<input type="radio"/>	Parametric Portfolio Associates, LLC	TABS Managed Municipals - Short (SMA)	(min \$250,000)	tmms	C
<input type="radio"/>	Parametric Portfolio Associates, LLC	TABS Managed Municipals - Intermediate (SMA)	(min \$250,000)	tmmi	C
<input type="radio"/>	Parametric Portfolio Associates, LLC	TABS Managed Municipals - Long (SMA)	(min \$250,000)	tmml	C
<input type="radio"/>	Sage Advisory Services Ltd., Co.	Intermediate Municipal Fixed Income (SMA)	(min \$250,000)	imfi	C
<input type="radio"/>	Sage Advisory Services Ltd., Co.	Core Municipal Fixed Income (SMA)	(min \$250,000)	cmfi	C
<input type="radio"/>	Thornburg Investment Management	High Quality Municipal (SMA)	(min \$1,000,000)	muni	C
<input type="radio"/>	Thornburg Investment Management	Limited Term Municipal (SMA)	(min \$250,000)	ltmuni	C
<input type="radio"/>	Western Asset Management Co.	Current Market Muni (SMA)	(min \$250,000)	cmm	C
<input type="radio"/>	Other:				

*For Municipal, High Quality Tax-Free and Tax Aware Fixed Income Disciplines please provide:*

State of Residence	Tax Bracket	Is Client subject to Alternative Minimum Tax? <input type="radio"/> Yes <input type="radio"/> No
<input type="radio"/> State Specific>:	<input type="radio"/> State Preference:	<input type="radio"/> National

Italicized Managers are affiliated with Raymond James.

➤ If not clearly specified, the default will be state of residence.

**Note:** State specific and state preferred options are offered at the discretion of the money manager. For a complete listing of available options, refer to [Fact Sheet](#). Accounts will be considered "National" portfolios unless otherwise specified.

\* AMS holds discretionary authority on Model disciplines and the Manager holds discretionary authority on SMA disciplines.

**6. Fixed Income Disciplines - Taxable**

**(Minimum Investment \$200,000)**

	<i>Manager</i>	<i>Investment Discipline*</i>		<i>Code</i>	<i>Fee Schedule</i>
<input type="radio"/>	Breckinridge Capital Advisors	Intermediate Tax-Efficient Bond (with Corporates) (SMA)	(min \$250,000)	temufc	C
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Core Fixed Income (SMA)</i>		<i>corfxd</i>	C
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Corporate Environmental, Social &amp; Governance (SMA)</i>		<i>esgcor</i>	C
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Corporate Credit Opportunity Strategy (SMA)</i>		<i>ccos</i>	C
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Government Securities (SMA)</i>		<i>govt</i>	C
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>High Quality Taxable (SMA)</i>		<i>hqtax</i>	C
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>High Quality Taxable ETF (Model)</i>	(min \$70,000)	<i>eamhqt</i>	C
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Institutional Cash Management (SMA)</i>	(min \$5,000,000)	<i>icm</i>	C
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Short Term Conservative Fixed Income (SMA)</i>	(min \$500,000)	<i>govts</i>	D
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Tactical Environmental, Social &amp; Governance (SMA)</i>		<i>esgtac</i>	C
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Vertical Income Portfolio (SMA)</i>	(min \$250,000)	<i>vinc</i>	C

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\* AMS holds discretionary authority on Model disciplines and the Manager holds discretionary authority on SMA disciplines.

Account #

**Investment Discipline Selection(s)**
**6. Fixed Income Disciplines – Taxable (continued)**
**(Minimum Investment \$200,000)**

	<i>Manager</i>	<i>Investment Discipline*</i>	<i>Code</i>	<i>Fee Schedule</i>
<input type="radio"/>	Federated Investment Counseling	Core Plus (with MAPs) <sup>∞</sup> (SMA)	corepl	C
<input type="radio"/>	Federated Investment Counseling	Government/Credit (with MAPs) <sup>∞</sup> (SMA)	mgc	C
<input type="radio"/>	Federated Investment Counseling	Government/Credit (without MAPs) (SMA)	gc	C
<input type="radio"/>	Federated Investment Counseling	Intermediate Gov't/Credit (with MAPs) <sup>∞</sup> (SMA)	imgc	C
<input type="radio"/>	Lord, Abnett & Company, LLC	High Quality Taxable Intermediate (SMA)	fix	C
<input type="radio"/>	Madison Investment Advisors, LLC	High Quality Intermediate Government/Corporate (SMA)	hingc	C
<input type="radio"/>	Madison Investment Advisors, LLC	Intermediate Corporate Bond (SMA)	icb	C
<input type="radio"/>	Madison Investment Advisors, LLC	Intermediate Govt/Corp (SMA)	ingc	C
<input type="radio"/>	Madison Investment Advisors, LLC	Reinhart Active Intermediate Fixed Income (SMA)	aifi	C
<input type="radio"/>	Madison Investment Advisors, LLC	Reinhart Catholic Values Intermediate Fixed Income (SMA) (min \$250,000)	cifi	C
<input type="radio"/>	Madison Investment Advisors, LLC	Reinhart Limited Duration Fixed Income (SMA) (min \$250,000)	ldfi	C
<input type="radio"/>	Neuberger Berman, LLC	High Quality Taxable Intermediate (SMA)	fix	C
<input type="radio"/>	Pacific Income Advisers, Inc.	Market Duration MACS <sup>†</sup> (SMA)	mktd	C
<input type="radio"/>	Sage Advisory Services Ltd., Co.	Cash Balance Conservative (Model) (min \$250,000)	sascbm	C
<input type="radio"/>	Sage Advisory Services Ltd., Co.	Cash Balance Moderate (Model) (min \$250,000)	sascbg	C
<input type="radio"/>	Sage Advisory Services Ltd., Co.	Cash Balance Ultra Conservative (Model) (min \$250,000)	sascbc	C
<input type="radio"/>	Sage Advisory Services Ltd., Co.	Enhanced Cash Management (SMA) (min \$1,000,000)	cashm	D
<input type="radio"/>	Sage Advisory Services Ltd. Co.	ETF Core Plus Fixed Income (100% Fixed Income) (Model) (min \$25,000)	scpl	C
<input type="radio"/>	Sage Advisory Services Ltd. Co.	ETF Multi Asset Income (Model) (20% Equities/80% Fixed Income) (min \$25,000)	smi	C
<input type="radio"/>	Sage Advisory Services Ltd. Co.	ETF Conservative (Model) (20% Equities/80% Fixed Income) (min \$25,000)	sco	C
<input type="radio"/>	Sage Advisory Services Ltd., Co.	Intermediate Taxable Fixed Income (SMA)	itfi	C

\* AMS holds discretionary authority on Model disciplines and the Manager holds discretionary authority on SMA disciplines.

<sup>∞</sup> Clients organized as a registered investment company or other registered investment vehicle under the Investment Company Act of 1940 are not eligible to select an Enhanced Fixed Income discipline. In addition, the Enhanced Fixed Income disciplines are only available for: (i) U.S. citizens living in the U.S. due to mutual fund registration limits, and (ii) Accounts where RJA serves as custodian or sub-custodian, as applicable, of Account assets. Upon termination of an Account, AMS will immediately redeem any fund shares of Federated's Managed Asset Pool ("MAPs"), as these shares are only available in the Enhanced Fixed Income disciplines offered through the RJCS program (directly or through the Portfolio Select UMA Programs). All dividends from Federated's MAP shares will be paid in cash, as dividend reinvestment is not available.

<sup>†</sup> Clients organized as a registered investment company or other registered investment vehicle under the Investment Company Act of 1940 are not eligible to select the Market Duration MACS discipline. In addition, the Market Duration MACS discipline is only available for: (i) U.S. citizens living in the U.S. due to mutual fund registration limits, and (ii) Accounts where RJA serves as custodian or sub-custodian, as applicable, of Account assets. Upon termination of an Account, AMS will immediately redeem any shares of Pacific Income Advisers Managed Account Completion shares ("MAC Shares"), as these shares are only available in the Market Duration MACS discipline offered through the RJCS program (directly or through the Portfolio Select UMA Programs). All dividends from Pacific Income's MAC Shares will be paid in cash, as dividend reinvestment is not available.

Account #

**Investment Discipline Selection(s)**

**6. Fixed Income Disciplines – Taxable (continued) (Minimum Investment \$200,000)**

	<i>Manager</i>	<i>Investment Discipline*</i>		<i>Code</i>	<i>Fee Schedule</i>
<input type="radio"/>	Spectrum Asset Management	Spectrum Preferred Capital Securities^ (Model)	(min \$100,000)	spepcs	C
<input type="radio"/>	Stringer Asset Management, LLC	Income (20% Equities/80% Fixed Income) (Model)	(min \$25,000)	stgin	C
<input type="radio"/>	Thornburg Investment Management	Limited Term Income (SMA)	(min \$1,000,000)	lti	C
<input type="radio"/>	Western Asset Management Co.	Active Core Bond Aggregate (SMA)	(min \$1,000,000)	acba	C
<input type="radio"/>	Western Asset Management Co.	Enhanced Cash (SMA)	(min \$5,000,000)	ec	C
<input type="radio"/>	Western Asset Management Co.	Gov/Corp (SMA)		govcor	C
<input type="radio"/>	Western Asset Management Co.	Intermediate Active Bond (SMA)	(min \$1,000,000)	iab	C
<input type="radio"/>	Other:				

\* AMS holds discretionary authority on Model disciplines and the Manager holds discretionary authority on SMA disciplines.  
 ^ Clients organized as a registered investment company or other registered investment vehicle under the Investment Company Act of 1940 are not eligible to select the Preferred Capital Securities discipline. In addition, the Preferred Capital Securities discipline is only available for: (i) U.S. citizens living in the U.S. due to mutual fund registration limits, and (ii) Accounts where RJA serves as custodian or sub-custodian, as applicable, of Account assets. Upon termination of an Account, AMS will immediately redeem any shares of Spectrum Asset Management’s Separately Managed Account Funds (“SMA Funds”), as these shares are only available in the Preferred Capital Securities discipline offered through the RJCS program (directly or through the Portfolio Select UMA Programs).

**Offshore disciplines are only available to non-“U.S. persons” under Regulation S of the Securities Act of 1933 with W-8 status. Such disciplines will be comprised of Undertakings for the Collective Investment in Transferable Securities (“UCITS”) and not U.S. mutual funds or ETFs as referenced in this agreement. Enhanced Due Diligence Form 1092 must be approved by AML prior to opening accounts in Offshore disciplines. Clients must also be within established policies for [Raymond James Cross Border](#) business.**

**7. Offshore Fixed Income Disciplines (Minimum Investment \$100,000)**

	<i>Manager</i>	<i>Investment Discipline*</i>		<i>Code</i>	<i>Fee Schedule</i>
<input type="radio"/>	<i>Eagle Asset Management, Inc.</i>	<i>Vertical Income Offshore Portfolio ♦ (SMA)</i>	<i>(min \$250,000)</i>	<i>ovinc</i>	<i>C</i>

Italicized Managers are affiliated with Raymond James.  
 \* AMS holds discretionary authority on Model disciplines and the Manager holds discretionary authority on SMA disciplines.  
 ♦ The common stocks in the portfolio, which can be a maximum of 30% of the overall portfolio, may pay Qualified Dividend Income.

Account #

**Trade Restriction Request**

**Investment Advisory Program Notice**

As an advisory client who has delegated investment discretion to Raymond James or a third-party SMA Manager, Client has the ability to request reasonable restrictions on the investments made within their RJCS Program Account(s) or reasonably modify existing restrictions Client may have already imposed. However, Client understands that Raymond James or the SMA Manager may determine the requested restriction is not reasonable in their sole discretion. If so, Client’s financial advisor will be notified and Client will be provided an opportunity to modify the restriction(s), and Client further understands that unless modified it may result in the termination of Client’s RJCS Agreement. In addition, Client should understand that restrictions cannot be implemented with respect to pooled investments such as mutual funds, exchange traded products or collective investment trusts held in Client’s account, if any.

Client wishes to place the following investment restriction(s) on Client’s Account(s) established pursuant to this RJCS Agreement. If any of the restricted securities are currently held in the Account, Client understands they will be sold at the time the restriction is accepted without regard to tax consequences. Client understands that unless otherwise instructed by Client in writing, the following instructions will supersede existing restrictions currently in effect, if any, in Client’s Account(s).

In accommodating Client’s restriction request, Client understands that in lieu of purchasing a restricted security, the SMA Manager or AMS in its sole discretion may either: (i) select an alternative security, (ii) use the funds to invest in additional shares of current portfolio holdings, or (iii) hold the funds in Client’s cash sweep account.

**I. Industry Restrictions\***

<input type="radio"/> Abortion	<input type="radio"/> Core Catholic Values	<input type="radio"/> Gambling	<input type="radio"/> Stem Cells
<input type="radio"/> Adult Entertainment	<input type="radio"/> Contraceptives	<input type="radio"/> Nuclear Power	<input type="radio"/> Tobacco Products
<input type="radio"/> Alcohol Products	<input type="radio"/> Fossil Fuels/Carbon	<input type="radio"/> Pharmaceutical	<input type="radio"/> Weapons/Defense/Firearms
<input type="radio"/> Animal Welfare			

In lieu of an industry restriction, symbols or CUSIPs may be required. Unless otherwise provided by me in Section II below, Client understands that their financial advisor will provide such symbols or CUSIPs on Client’s behalf.

**II. Product/Security Type Restrictions\***

- Limited Partnerships  
Applies to Limited Partnerships (“LP”) securities only; this is not applicable to purchases of exchange traded funds organized as LPs that may issue K-1 tax reporting statements.
- Municipal Alternative Minimum Tax (AMT)  
Applies only to municipal fixed income securities subject to the Alternative Minimum Tax.

**III. Individual Security Restrictions/Additional Comments List symbols or CUSIPs (attach additional pages as necessary)\*:**

Client understands that any investment restriction imposed on the Account may impair the attainment of Client’s investment objectives and the performance of the Account may materially differ from Accounts in that same strategy or discipline Program that do not have investment restrictions. Client represents that they have full power and authority to execute this restriction instruction on behalf of the Account, and in making this request, Client is acting in the best interests of the Account. If the Account is subject to ERISA, this request is (i) for the exclusive purpose of providing benefits to participants and beneficiaries of the Plan account, and (ii) shall not constitute, or cause the Plan account to be engaged in, a “prohibited transaction” as defined under ERISA.

\* AMS will only accommodate restrictions for securities identified on this form based on individual symbols or CUSIPs. If the identified securities discontinue trading, AMS will automatically remove the restriction. In the event of a reorganization or other corporate action resulting in the issuance of newly traded securities (that is, new symbols or CUSIPs replace the previously identified symbols/CUSIPs), the restriction will not carry forward to the new securities. Client must provide a new restriction request for the new Security to AMS. Raymond James obtains information about companies, industry classifications and issuer credit ratings from third parties, that is believed to be reliable, but is not independently verified and therefore Raymond James does not guarantee its accuracy.

Account #

**Tax Considerations**

Pursuant to the SMA Manager’s designated authority to exercise investment discretion over Client’s Account, as applicable, the SMA Manager may choose trading and lot closure assignments for securities held in Client’s Account. As a result, SMA Manager-initiated specific lot closures may differ from Client’s designated, or Raymond James’s assigned, cost basis accounting method and may occur without advance notice to Client. Client retains the authority to adjust the lot closure assignment at or before the trade settlement date.

IRS Circular 230 Disclosure: RJA, its affiliates, agents and employees are not in the business of providing tax, regulatory, accounting or legal advice. These materials and any tax-related statements are not intended or written to be used, and cannot be used or relied upon, by any such taxpayer for the purpose of avoiding tax penalties. Any such taxpayer should seek advice based on the taxpayer’s particular circumstances from an independent tax advisor.

**Client Signatures**

By signing below, Client acknowledges that Client has received, read, understands, and agrees to abide by all the terms and conditions set forth in the RJCS Agreement with RJA.

**\*\* I have received a copy of this RJCS Agreement for my records. \*\***

RJFSA and RJFS are affiliates of Raymond James Bank, and maintain joint marketing relationships with certain other banks and credit unions. Unless otherwise specified, products purchased through RJFS, or held at RJA, are not insured by the FDIC, or any other bank or credit union, are not deposits or other obligations of Raymond James Bank, and are not guaranteed by Raymond James Bank, an affiliate of RJA, or any other bank or credit union. These products are subject to investment risks, including possible loss of principal invested.

Client’s Signature	Date	Client’s Signature (if applicable)	Date
Client’s Signature (if applicable)	Date	Client’s Signature (if applicable)	Date
Financial Advisor’s Signature	Date	Financial Advisor’s Signature (if applicable)	Date
Financial Advisor’s Signature (if applicable)	Date	Branch Office Manager Approval	Date

Account #

**Asset-Based Fee Schedule**

Fees are retroactive. The fee schedule breakpoints and rates may not be modified. Any modifications to the schedule will not be accepted, except when noted in the "Additional Instructions" section below. Please refer to the Investment Discipline Selection section of the Agreement to see which fee schedule is applicable for each available Manager-specific investment discipline.

Fee-Based Relationship Value	Equity & Balanced Disciplines Schedule A	Equity & Balanced Disciplines Schedule B	Fixed Income Disciplines Schedule C	Laddered Bonds & Short Term Conservative Disciplines Schedule D
Up to \$1 million	2.75%	2.60%	2.55%	2.45%
\$1 million up to \$2 million	2.50%	2.35%	2.30%	2.20%
\$2 million up to \$5 million	2.25%	2.10%	2.05%	1.95%
\$5 million up to \$10 million	2.00%	1.85%	1.80%	1.70%
\$10 million and up	1.75%	1.60%	1.55%	1.45%

**Additional Instructions:**

Clients may request to have their related fee-based accounts combined for billing purposes so that each account will pay a fee under the applicable program fee schedule that is calculated on the basis of the aggregate "Relationship Value" (that is, the combined Account Values of all related accounts). Please consult with your financial advisor to identify your related fee-based accounts. Please refer to RJA's Wrap Fee Program Brochure for additional information regarding asset-based fees and combining related accounts; RJA reserves the right to determine whether accounts are "related" in its sole discretion.

\_\_\_\_\_ **Client 1 Initials** \_\_\_\_\_ **Client 3 Initials**  
(if applicable)

\_\_\_\_\_ **Client 2 Initials** \_\_\_\_\_ **Client 4 Initials**  
(if applicable)